

Dust dynamics in disks

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1. **Background on protoplanetary disks**
2. Dust dynamics

Protoplanetary disk structure

- Equilibrium structure of gas orbiting a star
 - steady-state solution to
 - hydrodynamic equations (continuity, Navier-Stokes)
 - gravity equation (Poisson)
 - ⇒ non trivial, stability not guaranteed
- Protoplanetary disks: simplifications
 - $M_{\text{disk}} \ll M_{\star} \Rightarrow$ neglect disk gravitational potential
 - valid for most observed disks ($M_{\text{disk}} \sim 0.01 M_{\odot}$)
 - marginal for some ($M_{\text{disk}} \sim 0.1 M_{\odot}$)
 - geometrically thin: $H \ll r$, cylindrical symmetry
 - vertical and radial structures decoupled

Vertical hydrostatic equilibrium

- Euler equation for stationary (and inviscid) disk

- $\frac{1}{\rho} \frac{\partial P}{\partial z} = g_z \simeq -\Omega_{\text{K}}^2 z$

- Assumption of vertically isothermal disk

- scale height: $H = \frac{c_s}{\Omega_{\text{K}}}$

- $\frac{1}{\rho} \frac{\partial \rho}{\partial z} = -\frac{z}{H^2} \Rightarrow \rho(r, z) = \frac{\Sigma(r)}{\sqrt{2\pi} H(r)} e^{-z^2/2H^2}$

- $\frac{H}{r} = \frac{c_s}{v_{\text{K}}} = \frac{1}{\mathcal{M}}$: thin disk \Leftrightarrow supersonic

- Verification of hypotheses

- $M_{\star} = 1 M_{\odot}, r = 1 \text{ AU}, T = 300 \text{ K}$

- $\Rightarrow c_s = 1.03 \text{ km s}^{-1} \ll v_{\text{K}} = 29.8 \text{ km s}^{-1}, \frac{H}{r} \simeq 3\%$

- $g_{z,\star} > g_{z,\text{disk}} \Leftrightarrow \frac{M_{\text{disk}}}{M_{\star}} < \frac{1}{2} \frac{H}{r}$: OK for most disks

Power-law disks

- $\Sigma(r) = \Sigma_0 \left(\frac{r}{r_0}\right)^{-p} \Rightarrow M_{\text{disk}} = \int_{r_{\text{in}}}^{r_{\text{out}}} \Sigma(r) 2\pi r \, dr$

- $T(r) = T_0 \left(\frac{r}{r_0}\right)^{-q} \Rightarrow c_s = c_{s0} \left(\frac{r}{r_0}\right)^{-\frac{q}{2}}, \quad c_{s0} = \sqrt{\frac{k_B T_0}{\mu m_H}}$

- $v_K = \sqrt{\frac{GM_\star}{r_0}} \left(\frac{r}{r_0}\right)^{-\frac{1}{2}}, \quad \Omega_K = \sqrt{\frac{GM_\star}{r_0^3}} \left(\frac{r}{r_0}\right)^{-\frac{3}{2}}$

- $H = \frac{c_{s0}}{\Omega_{K0}} \left(\frac{r}{r_0}\right)^{\frac{3-q}{2}}, \quad \frac{H}{r} = \frac{c_{s0}}{v_{K0}} \left(\frac{r}{r_0}\right)^{\frac{1-q}{2}}$

- $\frac{\partial}{\partial r} \left(\frac{H}{r}\right) > 0 \Leftrightarrow q < 1$: flared disk

star visible from whole surface

- $\rho(r, z) = \frac{\Sigma_0}{\sqrt{2\pi} H_0} \left(\frac{r}{r_0}\right)^{-(p-\frac{q}{2}+\frac{3}{2})} e^{-z^2/2H^2}$

- $P(r, z) = \frac{c_{s0}^2 \Sigma_0}{\sqrt{2\pi} H_0} \left(\frac{r}{r_0}\right)^{-(p+\frac{q}{2}+\frac{3}{2})} e^{-z^2/2H^2}$

Azimuthal motion

- Axisymmetric stationary flow with star's gravity only
- Radial component of Euler equation
 - $\frac{v_\theta^2}{v_K^2} = 1 - 2\eta < 1$
 - sub-Keplerian parameter: $\eta = \frac{1}{2} \left(\frac{H}{r} \right)^2 \left(-\frac{\partial \ln P}{\partial \ln r} \right) > 0$
- Power-law disk: $\eta = \frac{1}{2} \left(p + \frac{q}{2} + \frac{3}{2} \right) \left(\frac{H}{r} \right)^2 \ll 1$
 - ex: $\frac{H}{r} = \text{cst} = 0.05$ ($\Rightarrow q = 1$), $p = 1$
 - $\Rightarrow \eta = 3.75 \times 10^{-3} \Rightarrow v_\theta \simeq 0.996v_K$
- Gas only: approximation $v_\theta = v_K$ OK
-  Gas+dust: deviation crucial for evolution of solids

Protoplanetary disk evolution

- Disks are not static, they evolve slowly
 - theoretical explanation not easy
- Specific angular momentum in geometrically thin disk
 - $j = rv_\theta = \sqrt{GM_\star r}$: *increasing* function of r
 - ⇒ accreting gas needs to lose angular momentum
- Angular momentum transport
 - central problem in all accretion disks
 - redistribution within disk (due to "viscosity")?
 - loss from system (magnetic wind)?
 - difficult because subtle effect
 - PPD lifetime: several Myr $\sim 10^4$ dynamical times at r_{out}
 - ⇒ almost, but not quite, stable

Surface density evolution

- If static potential, local J conservation $\Rightarrow \Sigma$ constant
- Accretion and disk evolution: redistribution of J
 - gas loses J and spirals towards the star
 - elsewhere, gas gains J and move outwards

- Evolution of $\Sigma(r,t)$

- continuity equation: $r \frac{\partial \Sigma}{\partial t} + \frac{\partial}{\partial r} (r \Sigma v_r) = 0$

- azimuthal component of momentum equation

- $r \frac{\partial}{\partial t} (r^2 \Omega \Sigma) + \frac{\partial}{\partial r} (r^2 \Omega \cdot r \Sigma v_r) = \frac{1}{2\pi} \frac{\partial \Gamma}{\partial r}$

- viscous torque $\Gamma = 2\pi r \cdot \nu \Sigma r \frac{d\Omega}{dr} \cdot r$, ν : viscosity

$$\Rightarrow \frac{\partial \Sigma}{\partial t} = \frac{3}{r} \frac{\partial}{\partial r} \left[r^{1/2} \frac{\partial}{\partial r} (\nu \Sigma r^{1/2}) \right]: \text{diffusion equation}$$

Viscous time scale

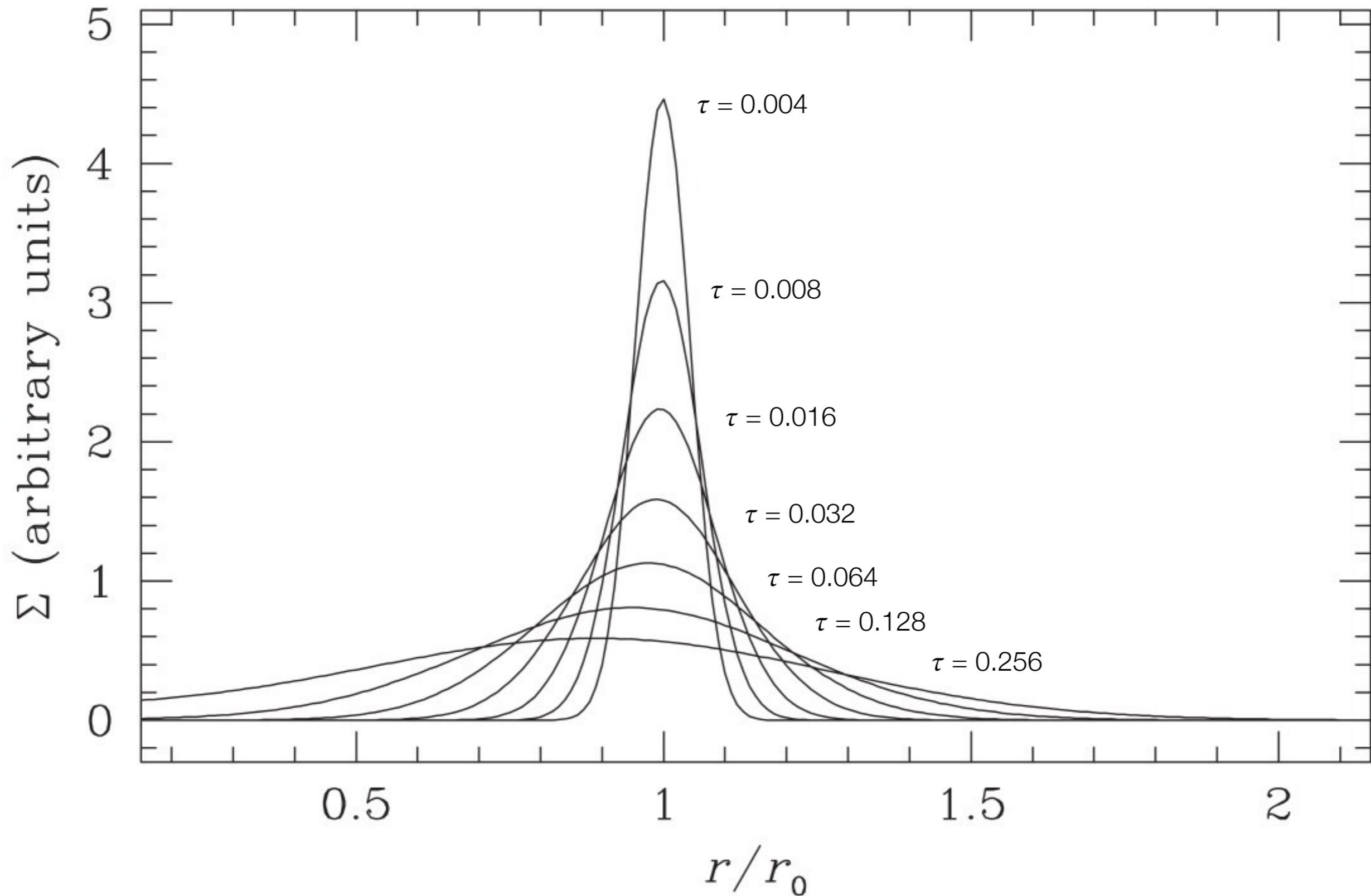
- Change of variable
 - $X = 2r^{1/2}$
 - $f = \frac{3}{2}\Sigma X$
- ⇒ diffusion equation: $\frac{\partial f}{\partial t} = D \frac{\partial^2 f}{\partial X^2}$
 - diffusion coefficient $D = \frac{12\nu}{X^2}$
- characteristic diffusion timescale: X^2 / D
 - ⇒ viscous timescale $\tau_\nu \simeq \frac{r^2}{\nu}$
- can be estimated observationally
 - e.g. decay rate of accretion
 - ⇒ estimate of effective viscosity

Time-dependent solution

- $\nu = \text{cst}$, solution: Green's function
 - $t = 0$: ring of mass m at r_0
 - $\Sigma(r, t = 0) = \frac{m}{2\pi r_0} \delta(r - r_0)$
 - zero-torque BC at $r = 0$
 - $\Rightarrow \Sigma(x, \tau) = \frac{m}{\pi r_0^2} \frac{1}{\tau} x^{-1/4} e^{-(1+x^2)/\tau} I_{1/4} \left(\frac{2x}{\tau} \right)$
 - $x = r/r_0, \tau = 12\nu r_0^{-2} t$
 - $I_{1/4}$: modified Bessel function of the first kind
- ring spreading
 - mass flows towards $r = 0$
 - angular momentum carried towards $r = +\infty$ by negligible fraction of mass

Time-dependent solution

Solution for $\nu = \text{cst}$

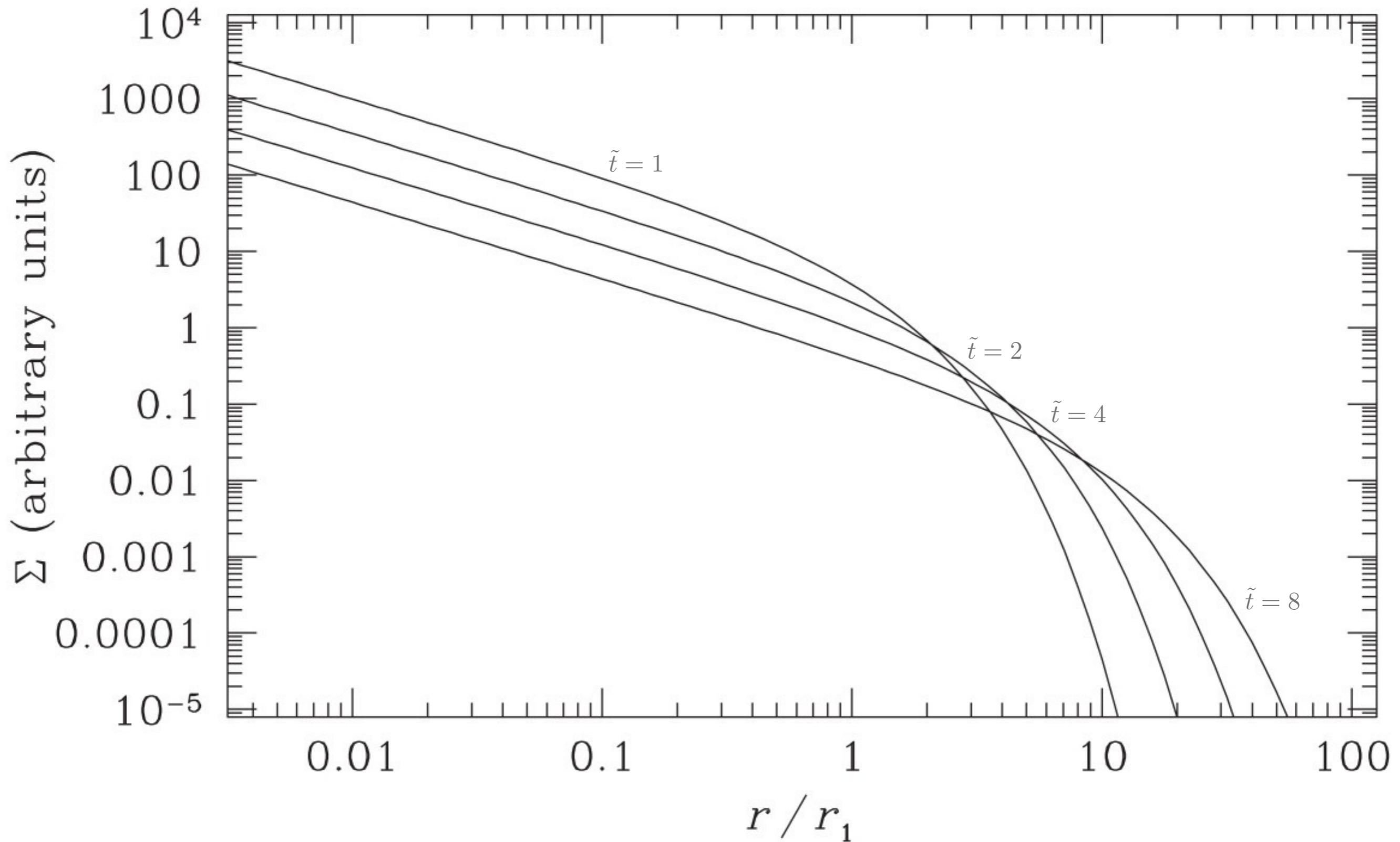


Time-dependent solution

- $\nu \propto r^\gamma$
- $t = 0$: stationary solution $\rightarrow r_1$ w/ exponential cutoff
 - $\Sigma(t = 0) = \frac{C}{3\pi\nu_1\tilde{r}^\gamma} e^{-\tilde{r}^{2-\gamma}}$
 - $\tilde{r} = r/r_1$, $\nu_1 = \nu(r_1)$, C : normalization cst
- \Rightarrow solution: $\Sigma(\tilde{r}, \tilde{t}) = \frac{C}{3\pi\nu_1\tilde{r}^\gamma} \tilde{t}^{-\frac{5/2-\gamma}{2-\gamma}} e^{-\tilde{r}^{2-\gamma}/\tilde{t}}$
- $\tilde{t} = \frac{t}{t_s} + 1$, $t_s = \frac{1}{3(2-\gamma)^2} \frac{r_1^2}{\nu_1}$
- allows to compute M_{disk} and \dot{M}
- disk mass decreases
- characteristic scale increases

Time-dependent solution

Self-similar solution for $\gamma = 1$



Angular momentum transport

- Physical origin
 - molecular viscosity: $\nu_m = \lambda c_s$
 - mean free path: $\lambda = \frac{1}{n\sigma_{\text{mol}}}$
 - cross-section for molecular collisions: σ_{mol}
 - H₂ gas: $\sigma_{\text{mol}} \simeq 2 \times 10^{-19} \text{ m}^2$
 - $r = 10 \text{ AU}$, $c_s = 0.5 \text{ km s}^{-1}$, $n = 10^{18} \text{ m}^{-3}$
 - $\Rightarrow \nu_m = 2500 \text{ m}^2 \text{ s}^{-1} \Rightarrow \tau_{\nu_m} = r^2 / \nu_m \simeq 3 \times 10^{13} \text{ yr} !!!$
 - \Rightarrow molecular viscosity NOT the source of J transport
- Reynolds number for $U = c_s$, $L = H = 0.05r$, $r = 10 \text{ AU}$
 - $\text{Re} = UL / \nu_m \sim 10^{10}$
 - \Rightarrow if instabilities present, disk can be highly turbulent

Shakura-Sunyaev α prescription

- Turbulent disk
 - macroscopic mixing \Rightarrow "effective" or "turbulent" viscosity
 - if isotropic turbulence
 - turbulent flow outer scale $< H$: smallest scale in disk
 - turbulent velocity $< c_s$:
 - supersonic motion \Rightarrow shocks and dissipation
- \Rightarrow "turbulent" viscosity: $\nu = \alpha c_s H$
- $\alpha < 1$: dimensionless Shakura-Sunyaev parameter
- no reason for α to be constant
 - often taken constant...

Magneto-Rotational Instability (MRI)

- Linear stability criterion of a weakly magnetized disk flow
 - $\frac{d}{dr}(\Omega^2) > 0$ *Velikhov 1959; Chandrasekhar 1961; Balbus & Hawley 1991*
 - *not* satisfied in Keplerian disk

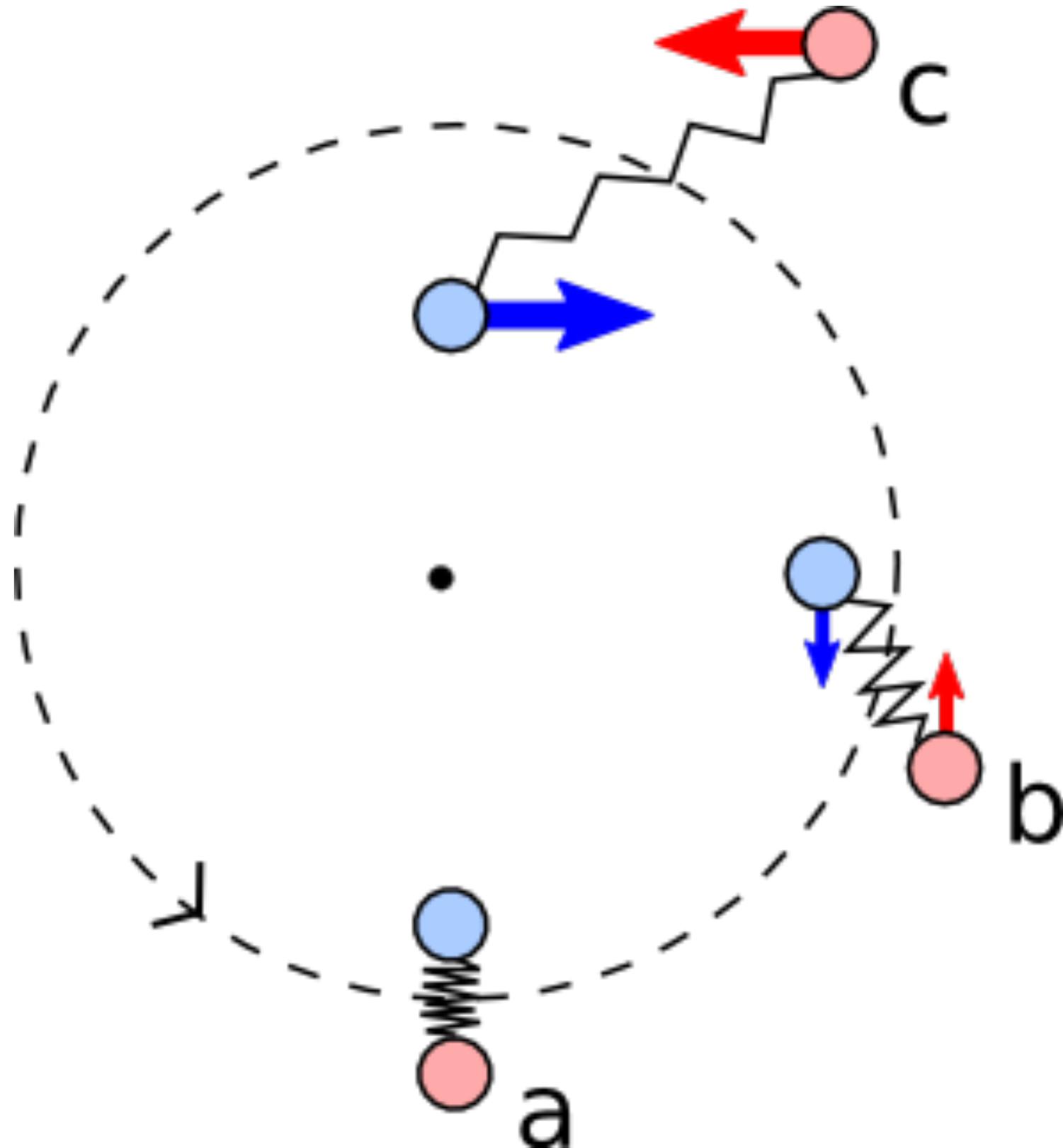
⇒ linear instability known as MRI (or Balbus-Hawley inst.)
- Ideal MHD equations
 - continuity: $\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot (\rho \vec{v}) = 0$
 - momentum conservation:

$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}) \vec{v} = -\frac{1}{\rho} \vec{\nabla} \left(P + \frac{B^2}{2\mu_0} \right) - \vec{\nabla} \Phi + \frac{1}{\mu_0 \rho} (\vec{B} \cdot \vec{\nabla}) \vec{B}$$

magnetic pressure magnetic tension
 - magnetic induction: $\frac{\partial \vec{B}}{\partial t} = \vec{\nabla} \times (\vec{v} \times \vec{B})$

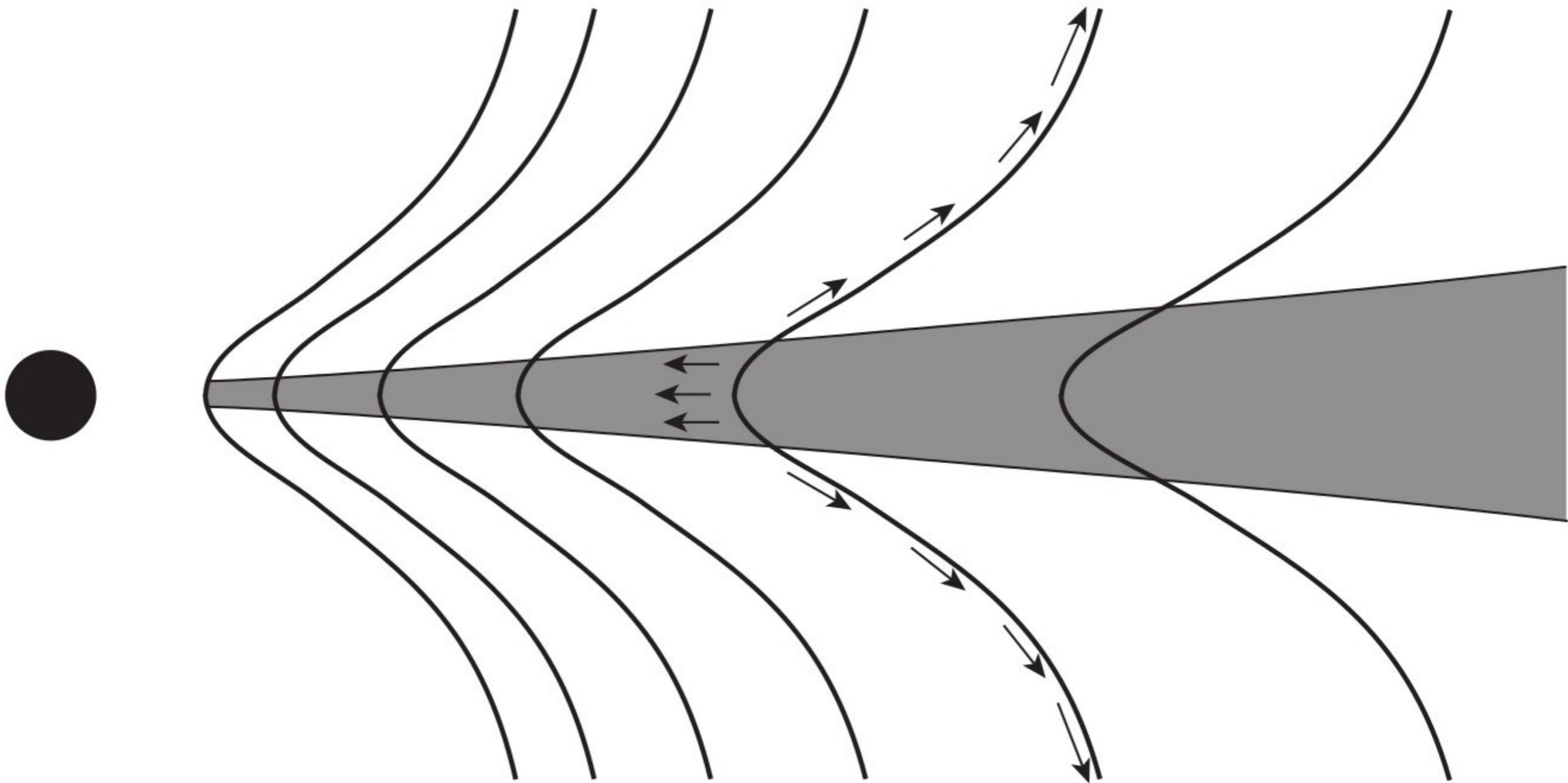
Magneto-Rotational Instability (MRI)

Physical origin of the MRI

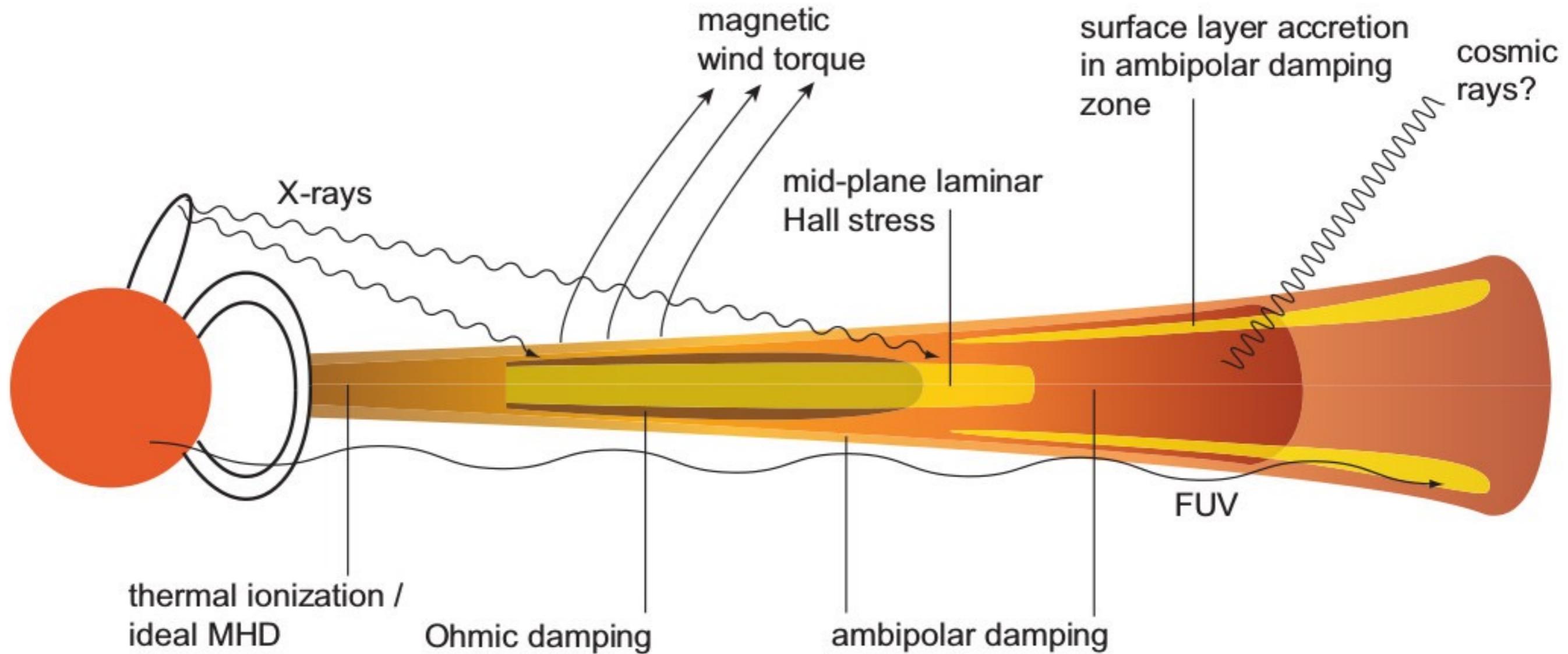


Disk winds and magnetic braking

Angular momentum loss instead of redistribution



Layered disks



Observed disk structures

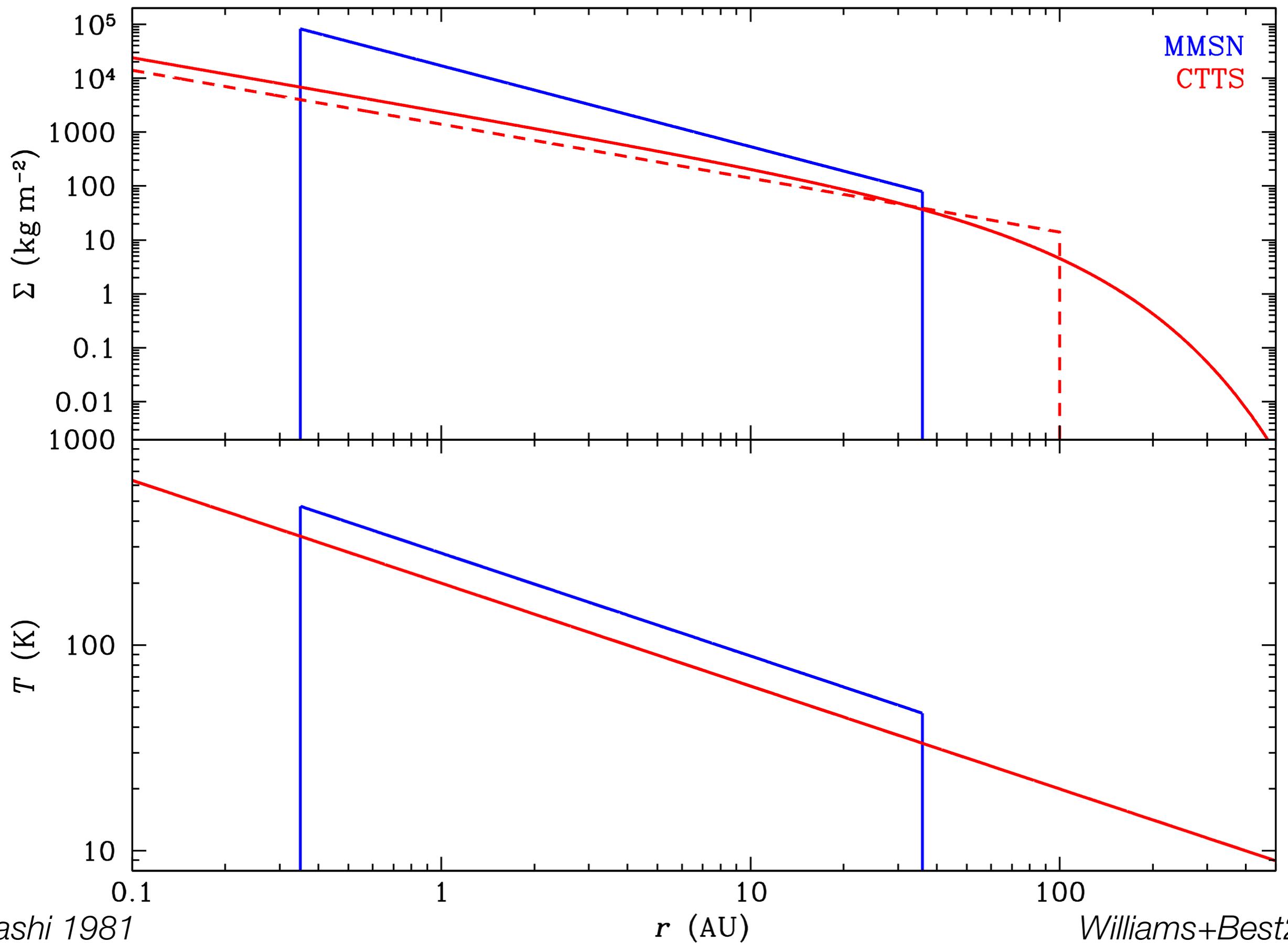
- MMSN
 - $r_{\text{in}} = 0.35 \text{ AU}, r_{\text{out}} = 36 \text{ AU}$
 - $\Sigma_{\text{disk}} = 17\,000 \left(\frac{r}{\text{AU}}\right)^{-3/2} \text{ kg m}^{-2} \Rightarrow M_{\text{disk}} = 0.013 M_{\odot}$
 - $T_{\text{c}} = 280 \left(\frac{r}{\text{AU}}\right)^{-1/2} \text{ K}$

Hayashi1981

- Observed CTTS disks \Rightarrow median disk
 - $(r_{\text{in}} = 0.1 \text{ AU}), M_{\text{disk}} = 0.01 M_{\odot}$
 - $r_{\text{out}} = 100 \text{ AU} \Rightarrow \Sigma_{\text{disk}} = 1\,400 \left(\frac{r}{\text{AU}}\right)^{-1} \text{ kg m}^{-2}$
 - $r_{\text{c}} = 60 \text{ AU} \Rightarrow \Sigma_{\text{disk}} = 40 \left(\frac{r}{60 \text{ AU}}\right)^{-1} e^{-r/60 \text{ AU}} \text{ kg m}^{-2}$
 - $T_{\text{c}} = 200 \left(\frac{r}{\text{AU}}\right)^{-1/2} \text{ K}$

Williams+Best2014

Observed disk structures

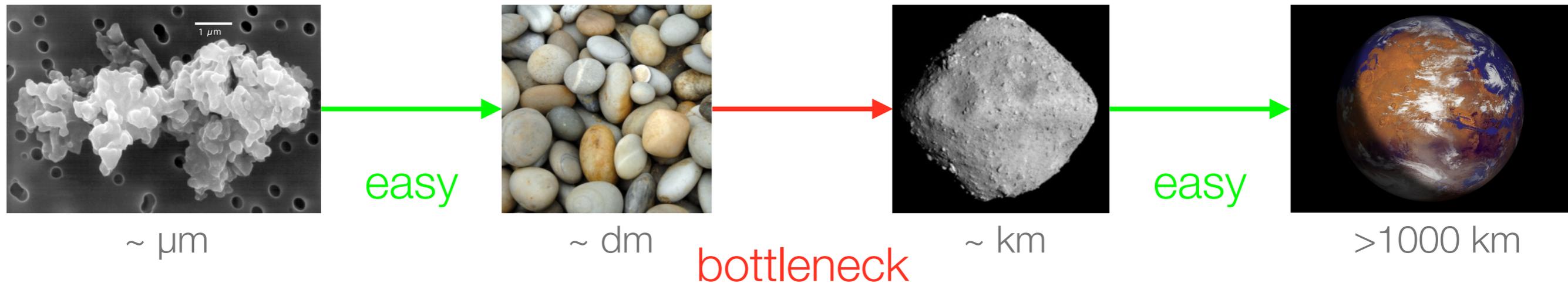


Outline

1. Background on protoplanetary disks
2. **Dust dynamics**

Planet formation

- Core accretion paradigm



- Barriers of planet formation

- Radial drift

Adachi+1976, Weidenschilling1977, Nakagawa+1986, Birnstiel+2010, Laibe+2012,2014

- Fragmentation

Dullemond+Dominik2005, Blum+Wurm2008

- Bouncing

Zsom+2010, Windmark+2012

Dynamics of solid bodies in disks

- Evolution of "small" solids
 - dominated by aerodynamic forces b/w gas and solids
 - dust grains: sub- μm to mm in size
 - pebbles : 1 — 10 cm
 - rocks : ~ 1 m
- Planetesimals: $\gtrsim 1$ km in radius
 - large enough for evolution dominated by gravitation

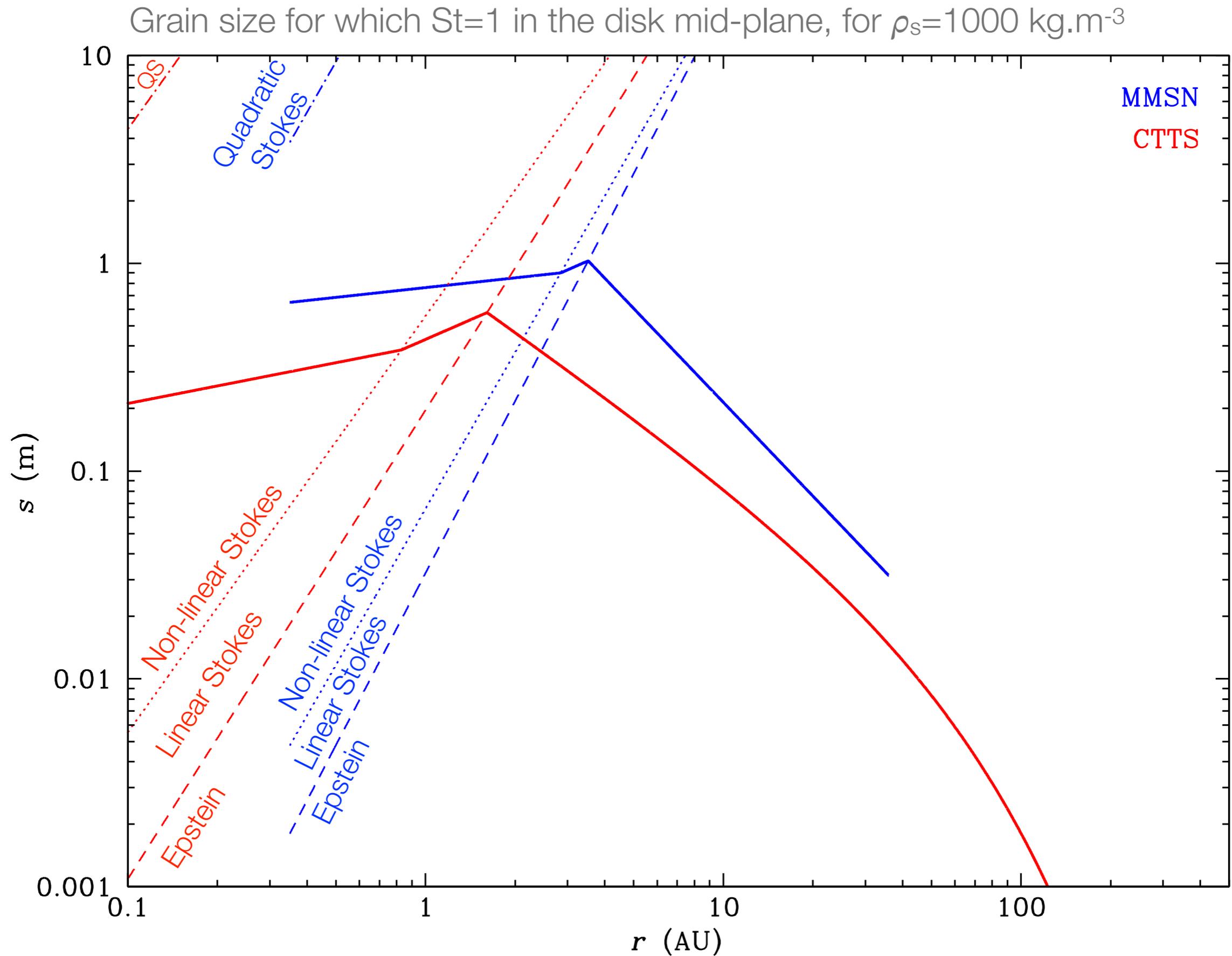
Aerodynamic drag force

- Solid spherical particle of radius s
- Relative velocity with the gas $\Delta\vec{v} = \vec{v}_d - \vec{v}_g$
- \Rightarrow drag force $\vec{F}_D = -\frac{1}{2} C_D \pi s^2 \rho_g \Delta v \Delta\vec{v}$
 - drag coefficient
 - cross section
 - ram pressure
- Form of C_D depends on size s vs. gas mean free path λ
 - $s < 9/4 \lambda$: Epstein regime, $C_D = \frac{8v_{th}}{3\Delta v}$
 - momentum transfer by collisions with gas molecules
 - $s > 9/4 \lambda$: Stokes regime
 - gas flows as a fluid with $Re = \frac{2s\Delta v}{\nu} = \frac{4s\Delta v}{v_{th}\lambda}$
 - $\begin{cases} Re < 1 & : C_D = 24Re^{-1} \\ 1 < Re < 800 & : C_D = 24Re^{-0.6} \\ Re > 800 & : C_D = 0.44 \end{cases}$

Aerodynamic drag

- Stopping time $t_s = \frac{m_d \Delta v}{F_D} = \frac{8\rho_s s}{3C_D \rho_g \Delta v}$
 - mid-plane at 1 AU: $t_s \sim 1$ s for $s = 1 \mu\text{m}$
- Stokes number $St = \frac{t_s}{t_K} = \Omega_K t_s$
 - $St \ll 1$: small grains, strong coupling
 - $St \gg 1$: large particles, weak coupling
 - $St \sim 1$: largest effect of drag
- Epstein regime: $St_{Ep} = \frac{\Omega_K \rho_s s}{\rho_g v_{th}} = \frac{\pi \rho_s s}{2\Sigma_g} e^{z^2/2H^2}$

Aerodynamic drag



Vertical settling

- Vertical equation of motion of a particle in a laminar disk

- $a_{d,z} = \frac{F_D}{m_d} - g_z = -\frac{\Delta v_z}{t_s} - \Omega_K^2 z$

- no vertical gas motion: $\Delta v_z = v_{d,z}$

- $Z = \frac{z}{z_0}, T = \frac{t}{t_K} \Rightarrow \ddot{Z} + \frac{\dot{Z}}{St} + Z = 0$

\Rightarrow damped harmonic oscillator, settling time $T_{\text{set}} \sim \frac{1 + St^2}{St}$

- small grains: terminal velocity quickly reached

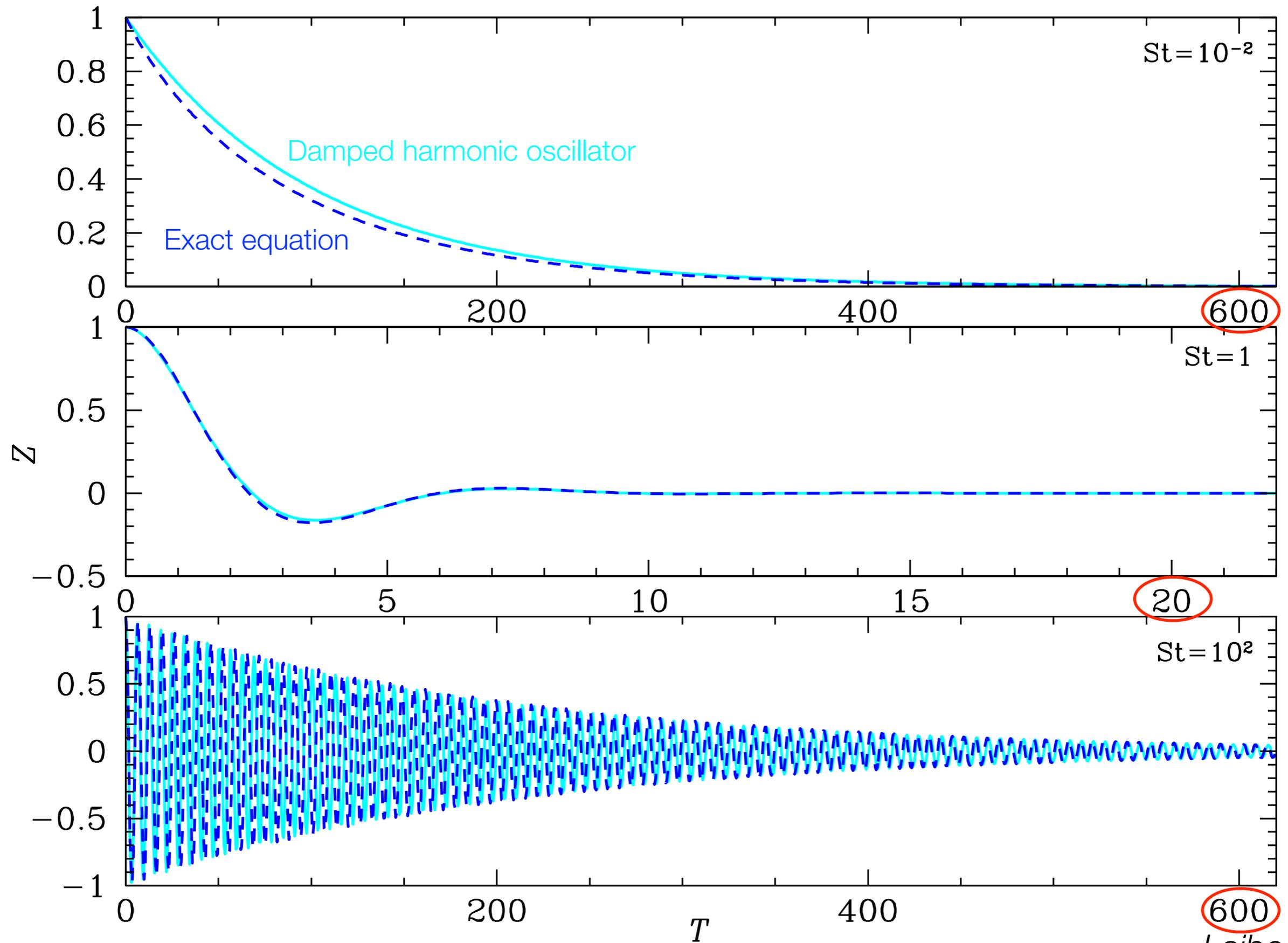
- $v_{\text{set}} = \Omega_K St z, t_{\text{set}} = \frac{z}{v_{\text{set}}} = \frac{1}{\Omega_K St}$

- Epstein: $v_{\text{set}} = \frac{\Omega_K^2 \rho_s s}{\rho_g c_s} z, t_{\text{set}} = \frac{\Sigma}{\sqrt{2\pi} \Omega_K \rho_s s} e^{-z^2/2H^2}$

- $s = 1 \mu\text{m}, \rho_s = 10^3 \text{ kg m}^{-3}, r = 1 \text{ AU}, z = H :$

- $v_{\text{set}} \sim 10^{-4} \text{ m s}^{-1}, t_{\text{set}} \sim 10^5 \text{ yr} < t_{\text{disk}}$

Vertical settling



Vertical settling with turbulence

- Turbulence stirs up dust, prevents efficient settling
- Small grains, turb. diffusion coeff. $D_t = \text{turb. visc. } \nu = \alpha c_s H$
- $t_{\text{stir}} = \frac{z^2}{\nu} = t_{\text{set}} \Rightarrow \frac{\sqrt{2\pi} \rho_s s}{\alpha \Sigma} = \frac{e^{-z^2/2H^2}}{z^2/H^2}$
- stirring up to $z \gtrsim H \Rightarrow \alpha \gtrsim \frac{\sqrt{2\pi} e^{1/2} \rho_s s}{\Sigma} \sim 10^{-2} \left(\frac{s}{\text{cm}} \right)$
 - (typical 1 AU conditions, $\rho_s = 10^3 \text{ kg.m}^{-3}$)
- Dust fluid w/ $\epsilon = \rho_d / \rho_g \ll 1$: advection-diffusion equation
 - $\frac{\partial \rho_d}{\partial t} = D_t \frac{\partial}{\partial z} \left[\rho_g \frac{\partial}{\partial z} \left(\frac{\rho_d}{\rho_g} \right) \right] + \frac{\partial}{\partial z} (\Omega_K \text{St} \rho_d z)$
 - steady-state solution if $\rho_g = \text{cst}$ in dust layer
 - $\rho_d(z) = \rho_d(0) e^{-z^2/2H_d^2}, \quad H_d = \sqrt{\frac{\alpha}{\text{St} + \alpha}} H$

Radial drift

- Small grains, $St \ll 1$
 - strong coupling, grains entrained by gas, $v_{d,\theta} \simeq v_{g,\theta} < v_K$
 - centrifugal force insufficient to counter gravity
 - grains spiral inwards at terminal radial velocity
- Large particles, $St \gg 1$
 - weak perturbations, $v_{d,\theta} \simeq v_K > v_{g,\theta}$
 - headwind \Rightarrow torque removes angular momentum
 - inwards drift on orbit with lower angular momentum
($j \propto \sqrt{r}$)

Radial drift

- Equations of motion in disk mid-plane

$$\begin{cases} \frac{dv_{d,r}}{dt} - \frac{v_{d,\theta}^2}{r} = -\Omega_K^2 r - \frac{(v_{d,r} - v_{g,r})}{t_s} \\ \frac{1}{r} \frac{d}{dt} (rv_{d,\theta}) = -\frac{(v_{d,\theta} - v_{g,\theta})}{t_s} \end{cases}$$

Radial drift

- Stationary solution in disk mid-plane

- approximation: small $\eta = \frac{1}{2} \left(\frac{H}{r} \right)^2 \left(-\frac{d \log P_g}{d \log r} \right) > 0$

$$\left\{ \begin{array}{l} v_{g,r} = v_{\text{visc}} \\ v_{d,r} = \underbrace{\frac{\text{St}}{1 + \text{St}^2} v_{\text{drift}}}_{\text{dominates for } \text{St} \gg \alpha} + \underbrace{\frac{1}{1 + \text{St}^2} v_{\text{visc}}}_{\text{dominates for } \text{St} \ll \alpha} \end{array} \right.$$

$$\left\{ \begin{array}{l} v_{\text{drift}} = -2\eta v_K < 0 \\ v_{\text{visc}} = \frac{\frac{\partial}{\partial r} \left(\rho_g \nu r^3 \frac{\partial \Omega_K}{\partial r} \right)}{r \rho_g \frac{\partial}{\partial r} (r^2 \Omega_K)} < 0 \end{array} \right.$$

Nakagawa+1986

$$v_{\text{drift}} \sim \frac{1}{\alpha} v_{\text{visc}}$$

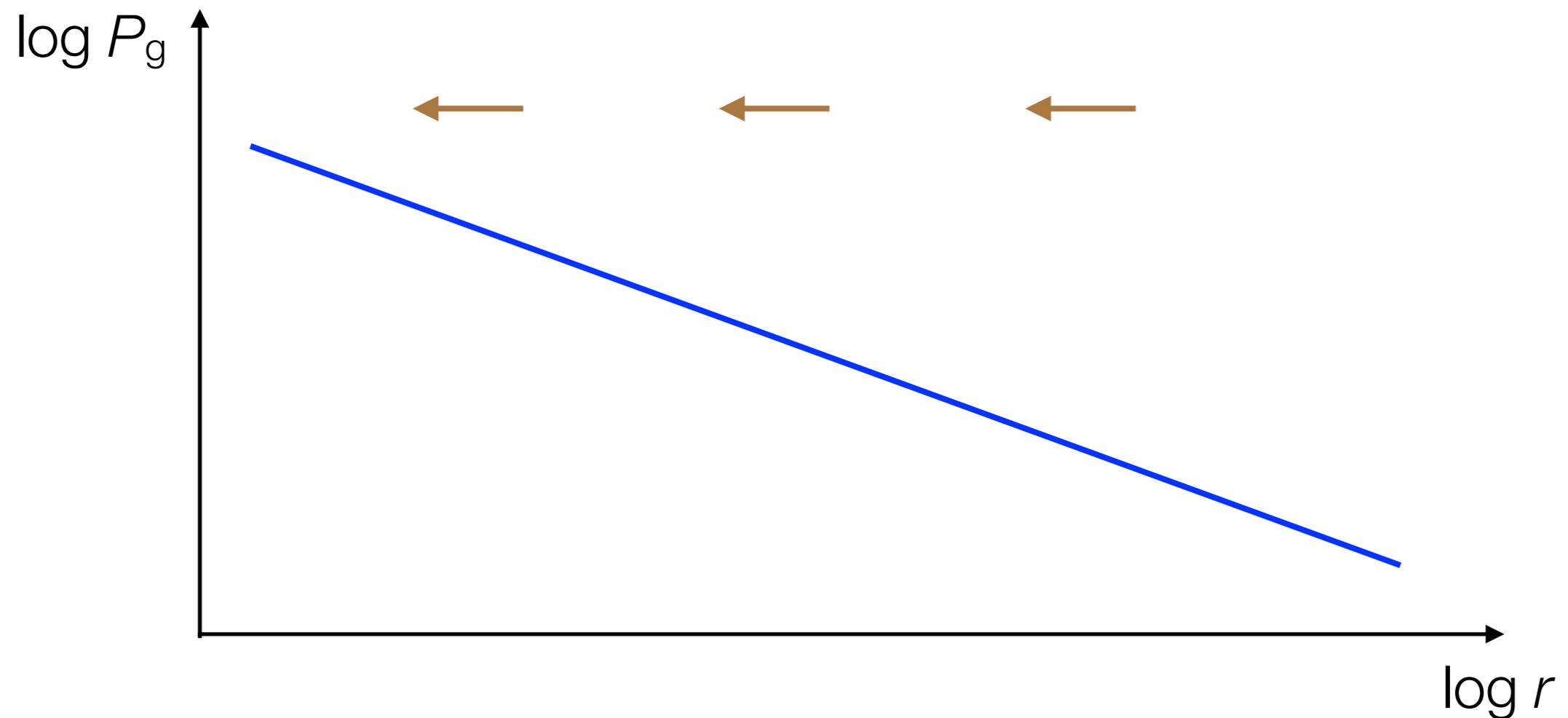
Lynden-Bell+Pringle 1974

Radial drift

- Dust radial drift velocity

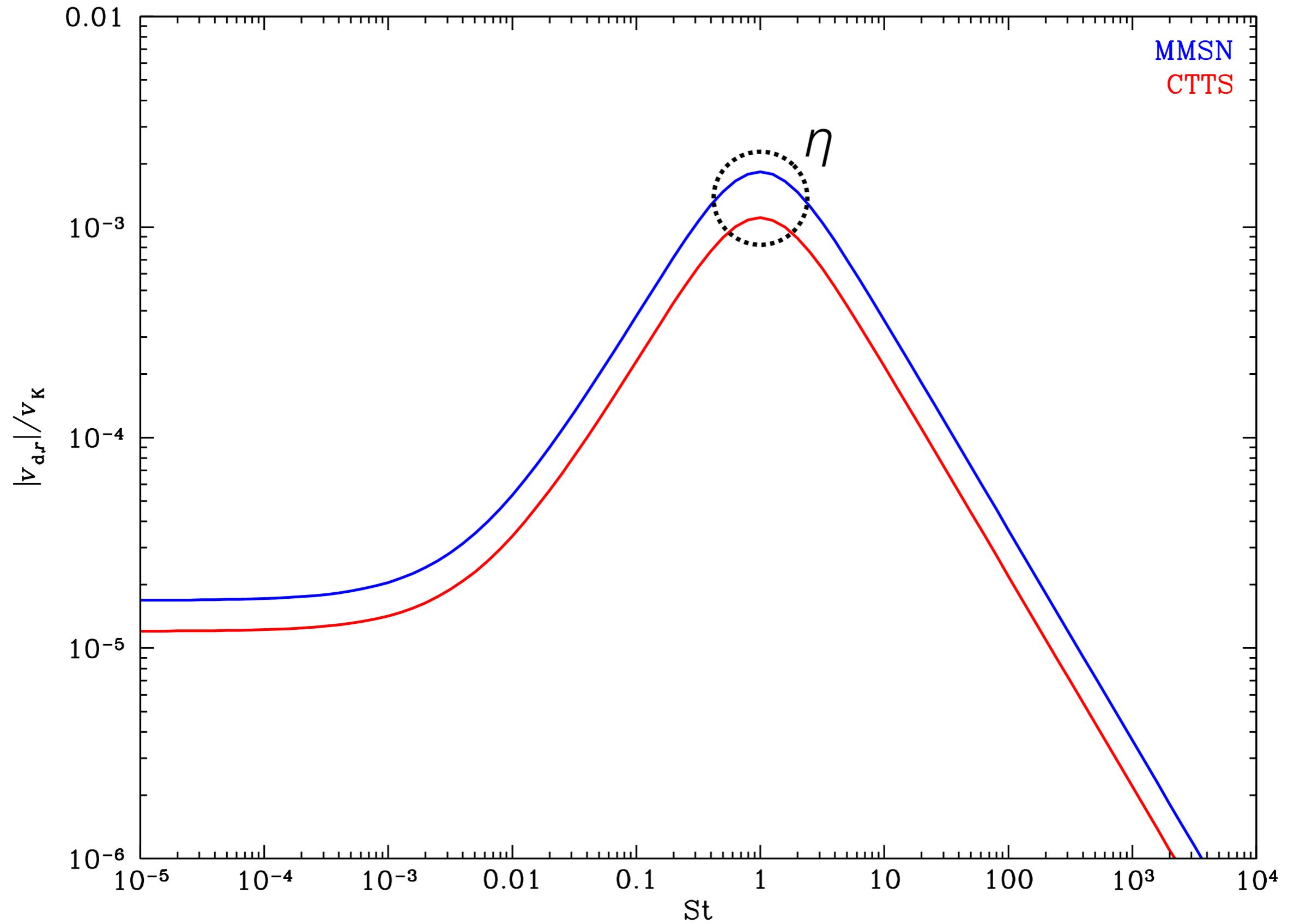
- $$St \gg \alpha \Rightarrow v_{d,r} = \frac{St}{1 + St^2} \left(\frac{H}{r} \right)^2 \frac{d \log P_g}{d \log r} v_K$$

\Rightarrow grains drift towards the pressure maximum



Radial drift

Dust radial drift velocity at $r=1$ AU



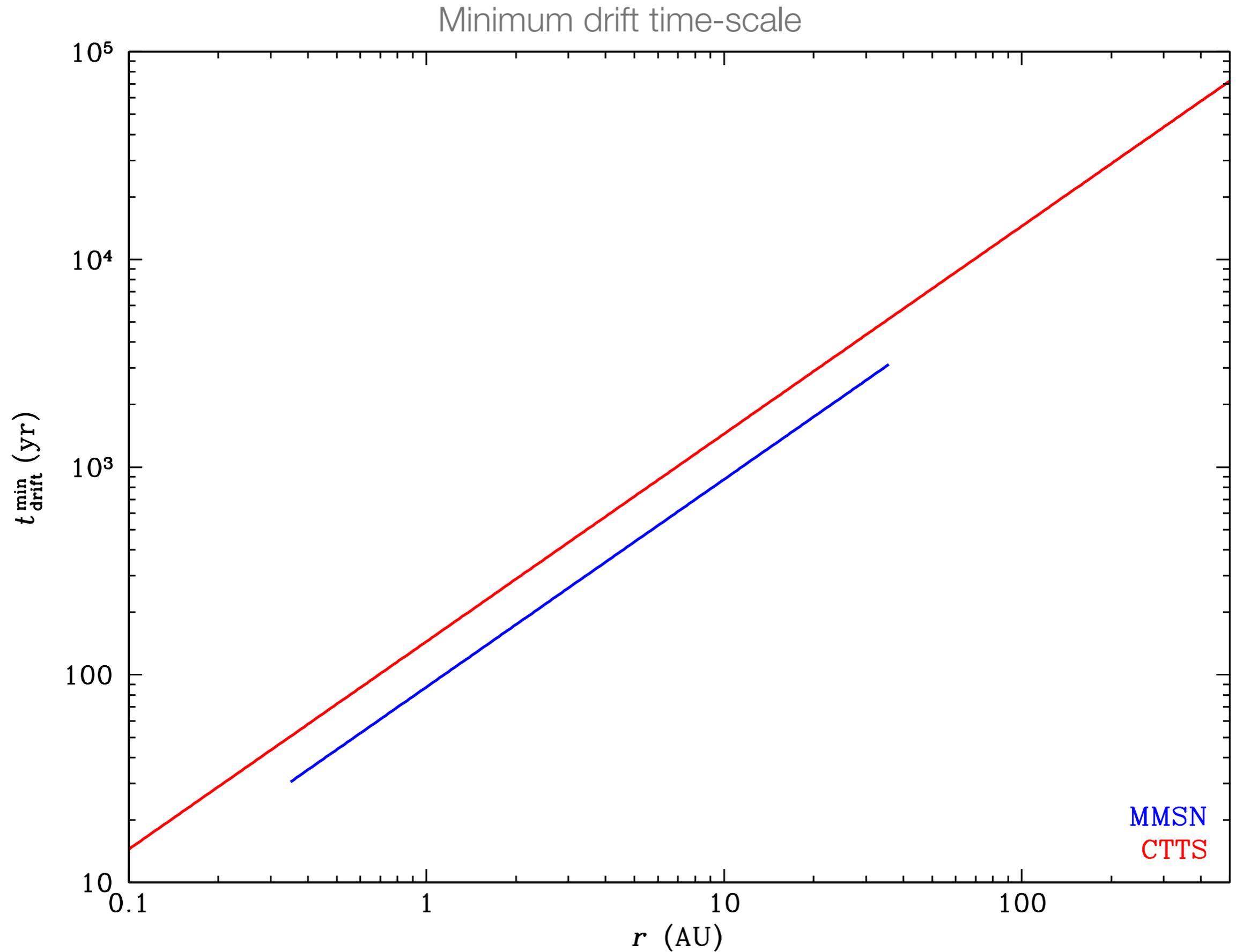
The radial-drift barrier

- Drift time-scale: $t_{\text{drift}} = \frac{r}{v_{d,r}} = \frac{1 + \text{St}^2}{\eta \text{St}} \frac{t_{\text{K}}}{2}$
- $T_{\text{drift}} = \frac{t_{\text{drift}}}{t_{\text{K}}} \sim \frac{1 + \text{St}^2}{\eta \text{St}} \sim \frac{T_{\text{set}}}{\eta}$
- thin disks: $\eta \ll 1 \Rightarrow T_{\text{drift}} \gg T_{\text{set}}$
- Minimum drift time-scale for $\text{St} \sim 1$: $t_{\text{drift}}^{\text{min}} = \frac{t_{\text{K}}}{\eta}$
- MMSN@1 AU: $t_{\text{drift}}^{\text{min}} \sim 100 \text{ yr}$ for $s(\text{St} = 1) \sim 1 \text{ m}$
 \Rightarrow "meter-size" barrier

Weidenschilling 1977

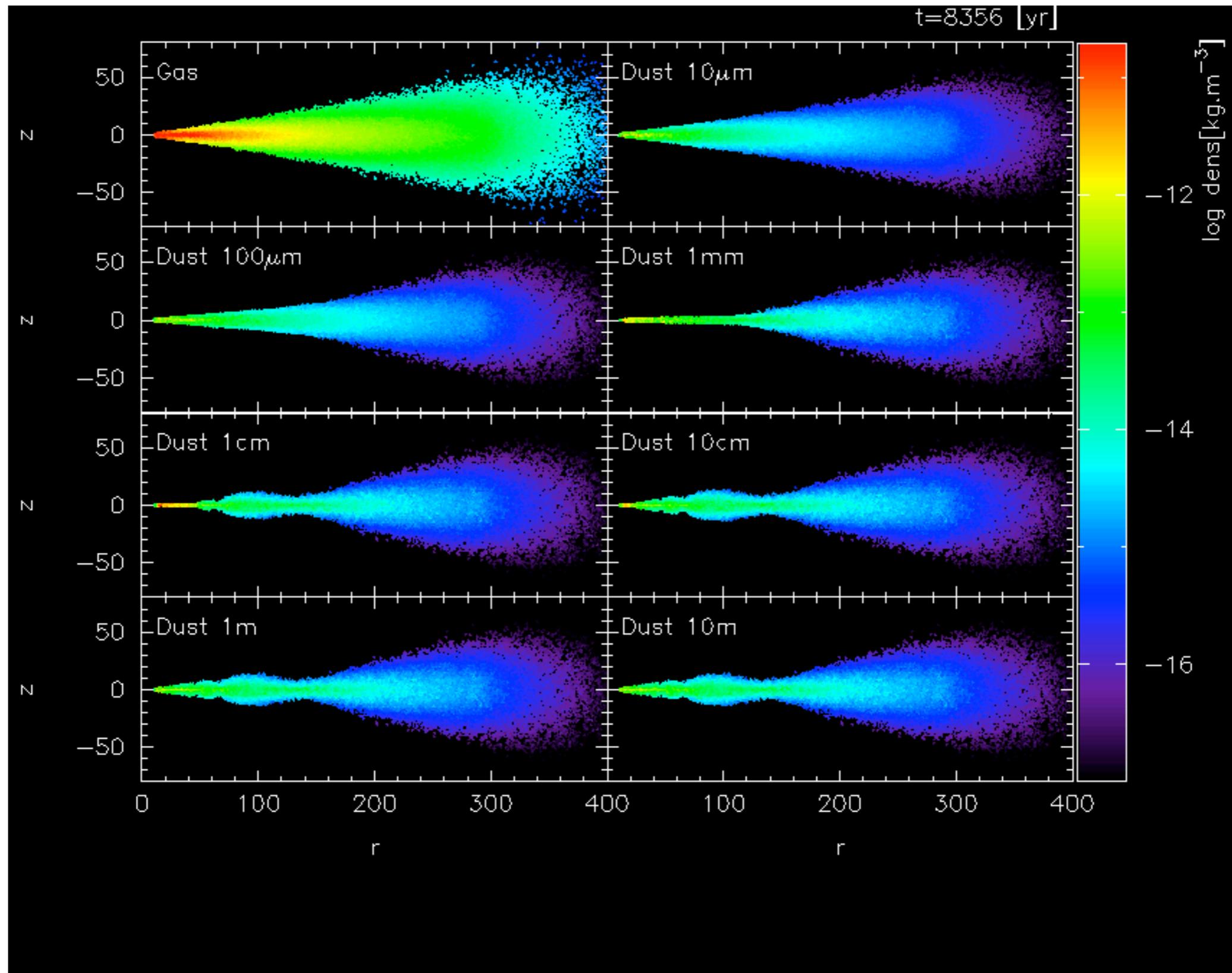
- but St depends on disk conditions
 \Rightarrow radial-drift barrier

The radial-drift barrier



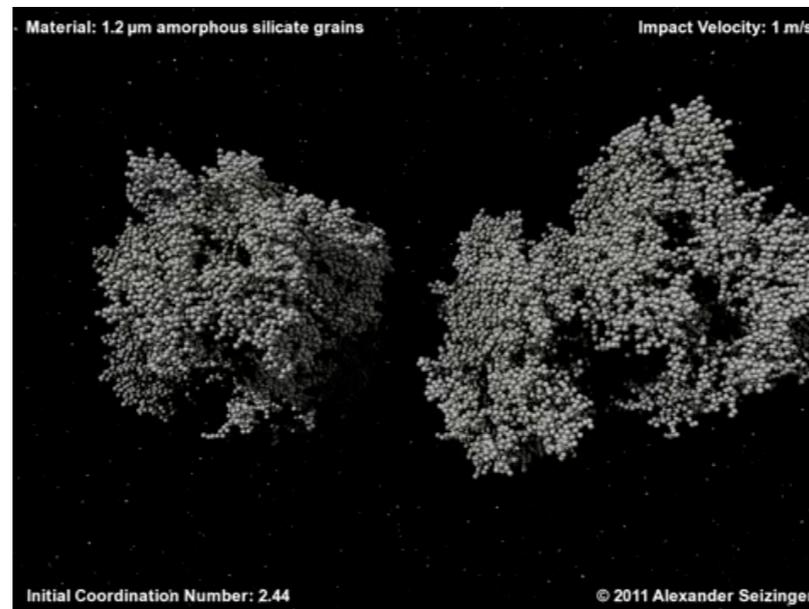
Radial drift and vertical settling

$M_{\text{disk}} = 0.01 M_{\odot}$, $r_{\text{out}} = 400 \text{ AU}$, $\epsilon_0 = 1\%$, $\rho_s = 1000 \text{ kg m}^{-3}$, initial state: $p=3/2$, $q=3/4$

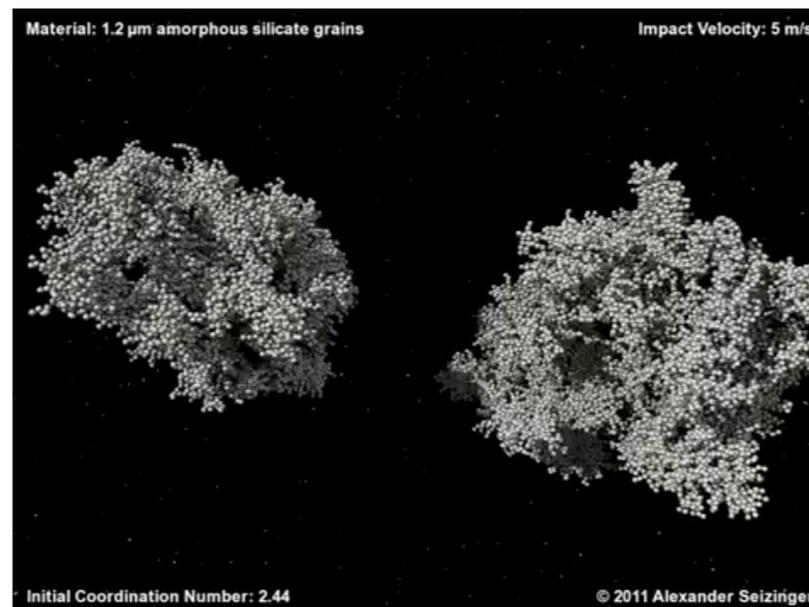


The fragmentation and bouncing barriers

- Material properties \Rightarrow fragmentation threshold v_{frag}
- Growth when $v_{\text{rel}} < v_{\text{frag}}$

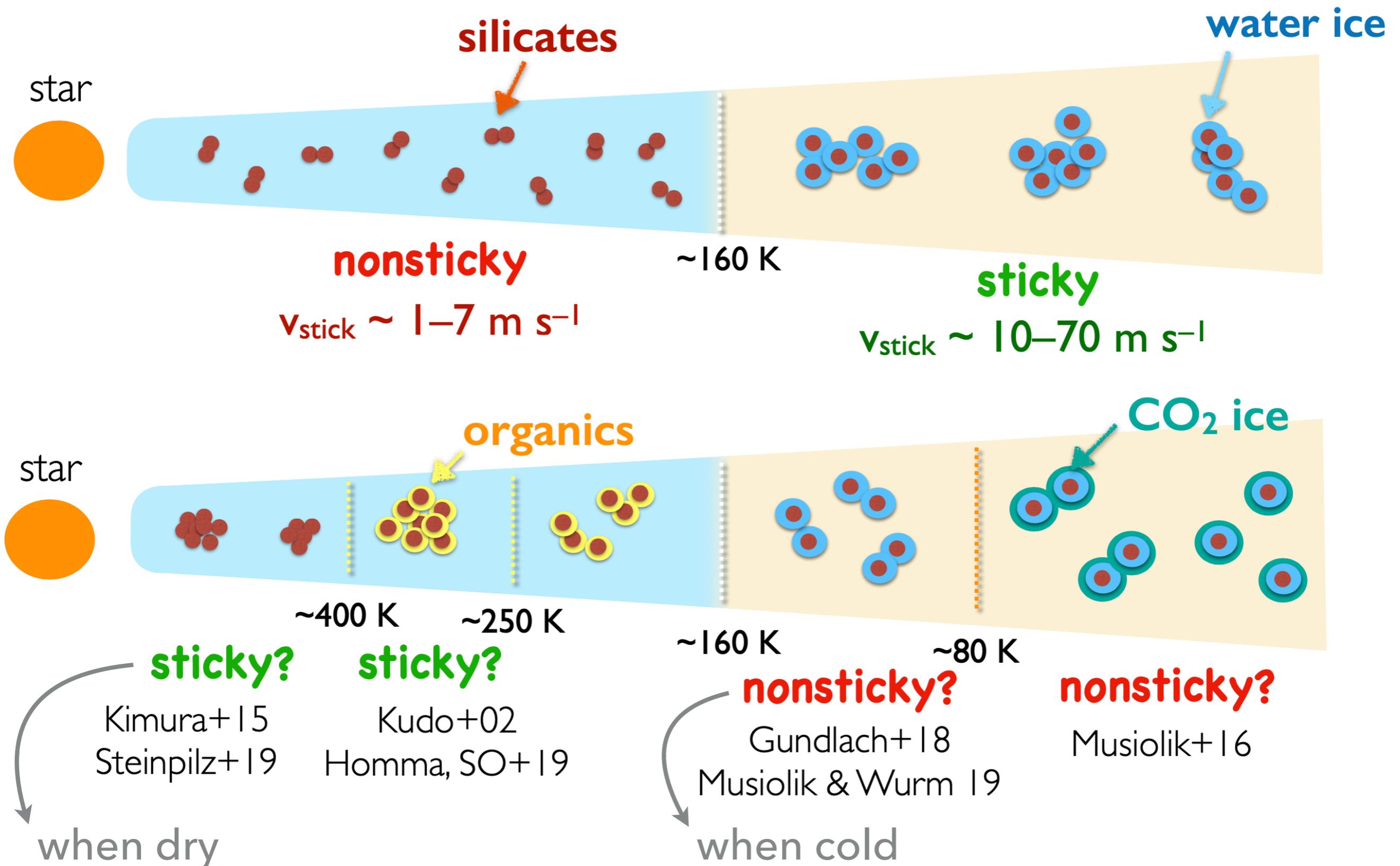


- Fragmentation when $v_{\text{rel}} > v_{\text{frag}}$



- Bouncing when $v_{\text{rel}} \lesssim v_{\text{frag}}$

The fragmentation and bouncing barriers



Growth and fragmentation

- Coagulation equation: Smoluchowski (1916)

$$\Rightarrow \frac{\partial n(m)}{\partial t} = \frac{1}{2} \int_0^m K(m', m - m') n(m') n(m - m') dm' - n(m) \int_0^{+\infty} K(m', m) n(m') dm'$$

- physics encoded in reaction kernel K , for coagulation:

$$K(m_1, m_2) = P(m_1, m_2, v_{\text{rel}}) v_{\text{rel}}(m_1, m_2) \sigma(m_1, m_2)$$

- integro-differential equation difficult to solve

Locally mono-disperse size distribution: growth

- Perfect sticking of equal-mass compact grains

- $\frac{dm_d}{dt} = \frac{m_d}{t_{\text{coll}}} = 4\pi s^2 \epsilon \rho_g v_{\text{rel}} \Rightarrow \frac{ds}{dt} = \epsilon \frac{\rho_g}{\rho_s} v_{\text{rel}}$

- Turbulent coupling with gas

- $v_{\text{rel}} = f(\alpha, \text{St}) c_s$

- Prandtl-like turbulence: $v_{\text{rel}} = \sqrt{\alpha} f'(\text{St}) c_s$

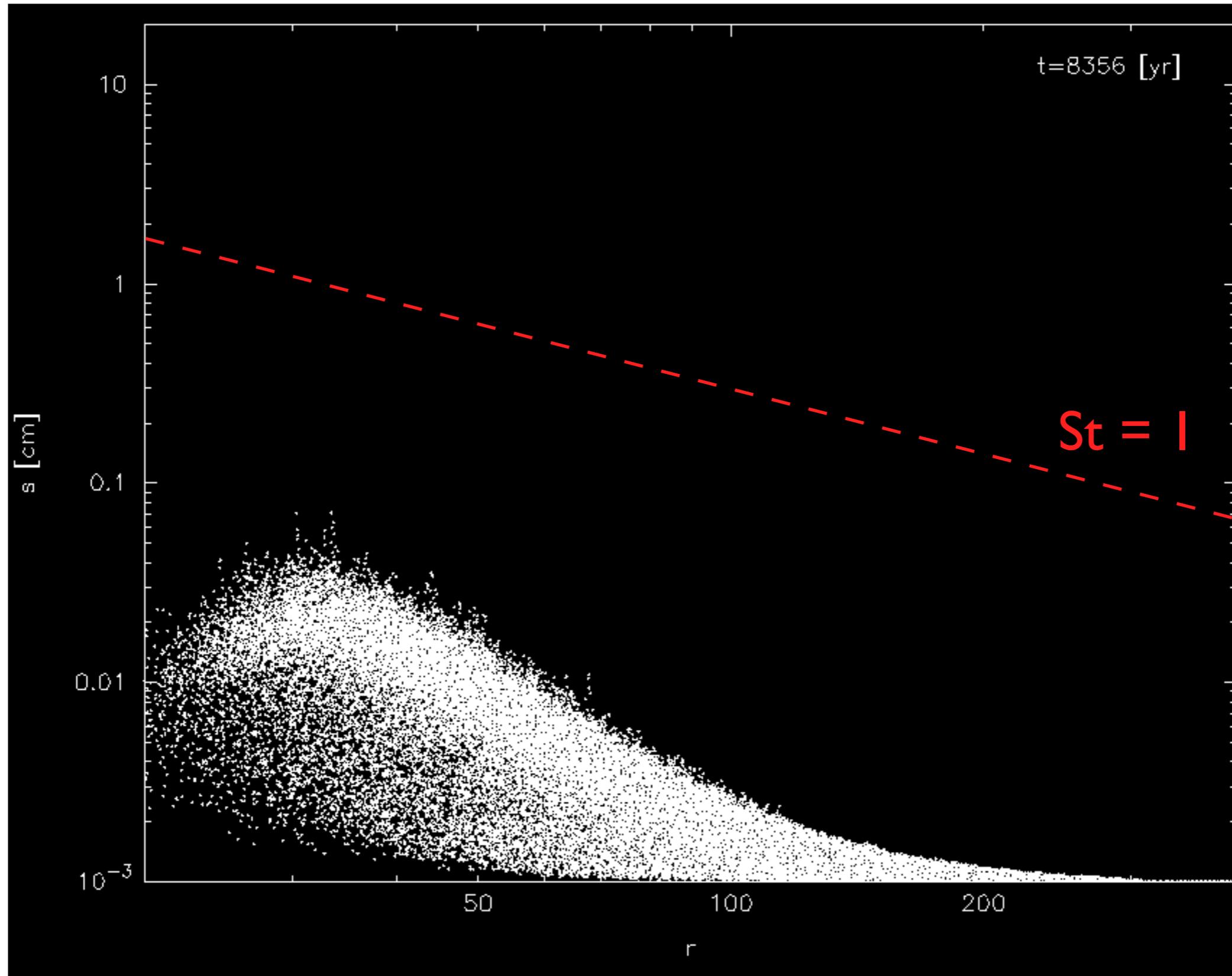
- Turbulence as a correlated noise:

- $v_{\text{rel}} \propto \sqrt{\alpha} \frac{\sqrt{\text{St}}}{1 + \text{St}} c_s$

Stepinski & Valageas 1997

Locally mono-disperse size distribution: growth

$M_{\text{disk}} = 0.01 M_{\odot}$, $r_{\text{out}} = 400 \text{ AU}$, $\epsilon_0 = 1\%$, $\rho_s = 1000 \text{ kg m}^{-3}$, initial state: $p=3/2$, $q=3/4$



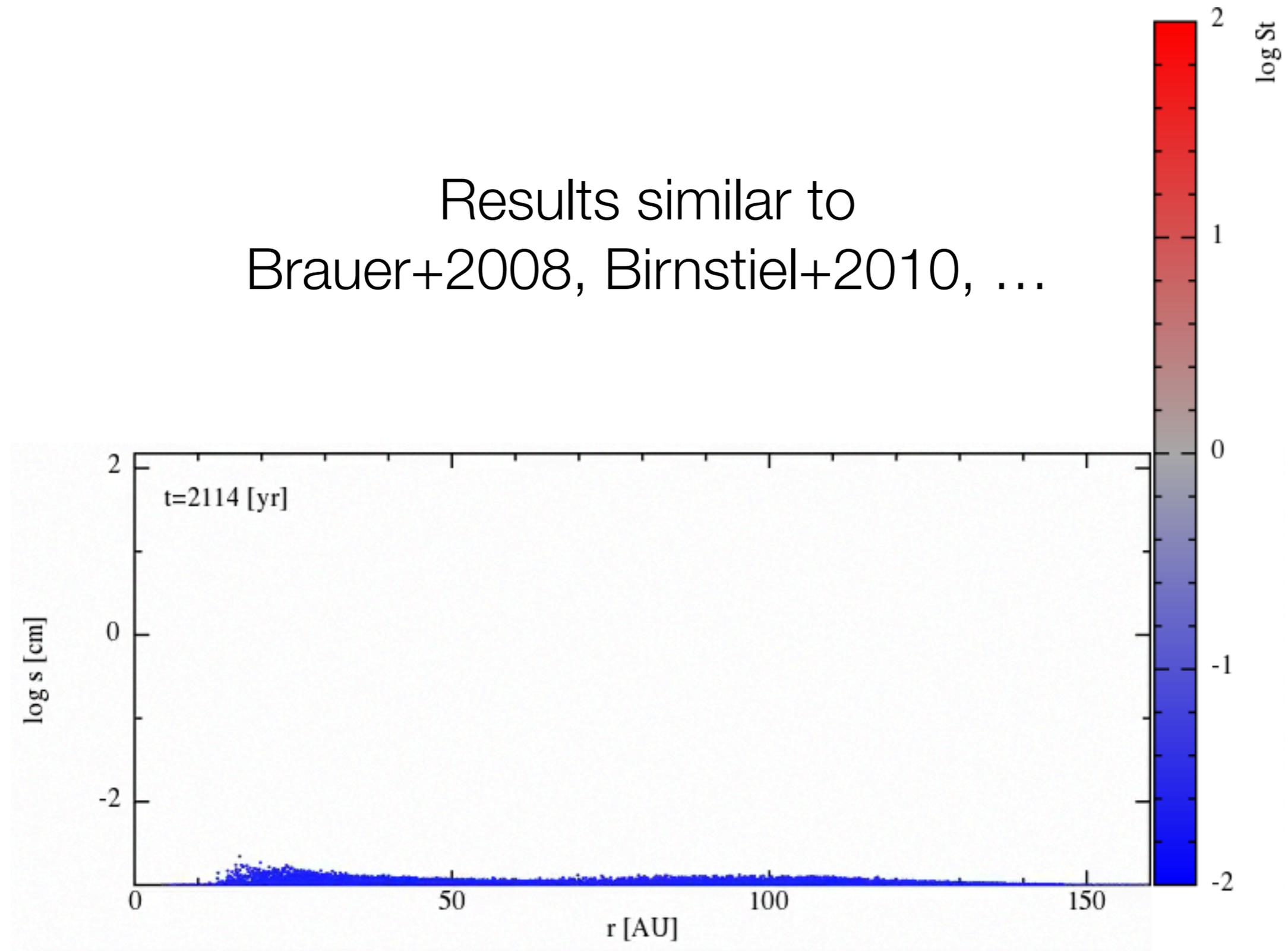
Growth and fragmentation

- Fragmentation to very small sizes for $v_{\text{rel}} > v_{\text{frag}}$
 - $\frac{dm_d}{dt} = -\frac{m_d}{t_{\text{coll}}} \Rightarrow \frac{ds}{dt} = -\epsilon \frac{\rho_g}{\rho_s} v_{\text{rel}}$
- Disk mid-plane: $\text{St}(z = 0) = \frac{\pi \rho_s s}{2 \Sigma_g}$
- Simple interpretation for $p=0$
 - $\text{St}(z = 0) \propto s$
 - $v_{\text{rel}} \propto c_s \propto r^{-1/2}$
 - inner disk: $v_{\text{rel}} > v_{\text{frag}} \Rightarrow$ fragmentation
 - outer disk: $v_{\text{rel}} < v_{\text{frag}} \Rightarrow$ growth

Growth and fragmentation

$$M_{\text{disk}} = 0.01 M_{\odot}, r_{\text{out}} = 160 \text{ AU}, p = 0, q = 1, \epsilon_0 = 1\%, \rho_s = 1000 \text{ kg m}^{-3}, v_{\text{frag}} = 15 \text{ m s}^{-1}$$

Results similar to
Brauer+2008, Birnstiel+2010, ...



Planetesimal formation via coagulation

- Growth to mm-cm size easy
 - size distribution set by growth/fragmentation balance
- Typical v_{rel} including differential radial drift and turbulence *Weidenschilling+Cuzzi1993*
 - bouncing or fragmentation rather than growth

⇒ growth beyond cm difficult
- Turbulent disk: distribution of v_{rel}
 - low-velocity tail ⇒ net growth to cm- or m-size *Garaud+2013*
 - larger objects grow via sweep-up of mm grains *Windmark+2012*
- Fragmentation needed to maintain small grains: observed

Planetesimal formation via coagulation

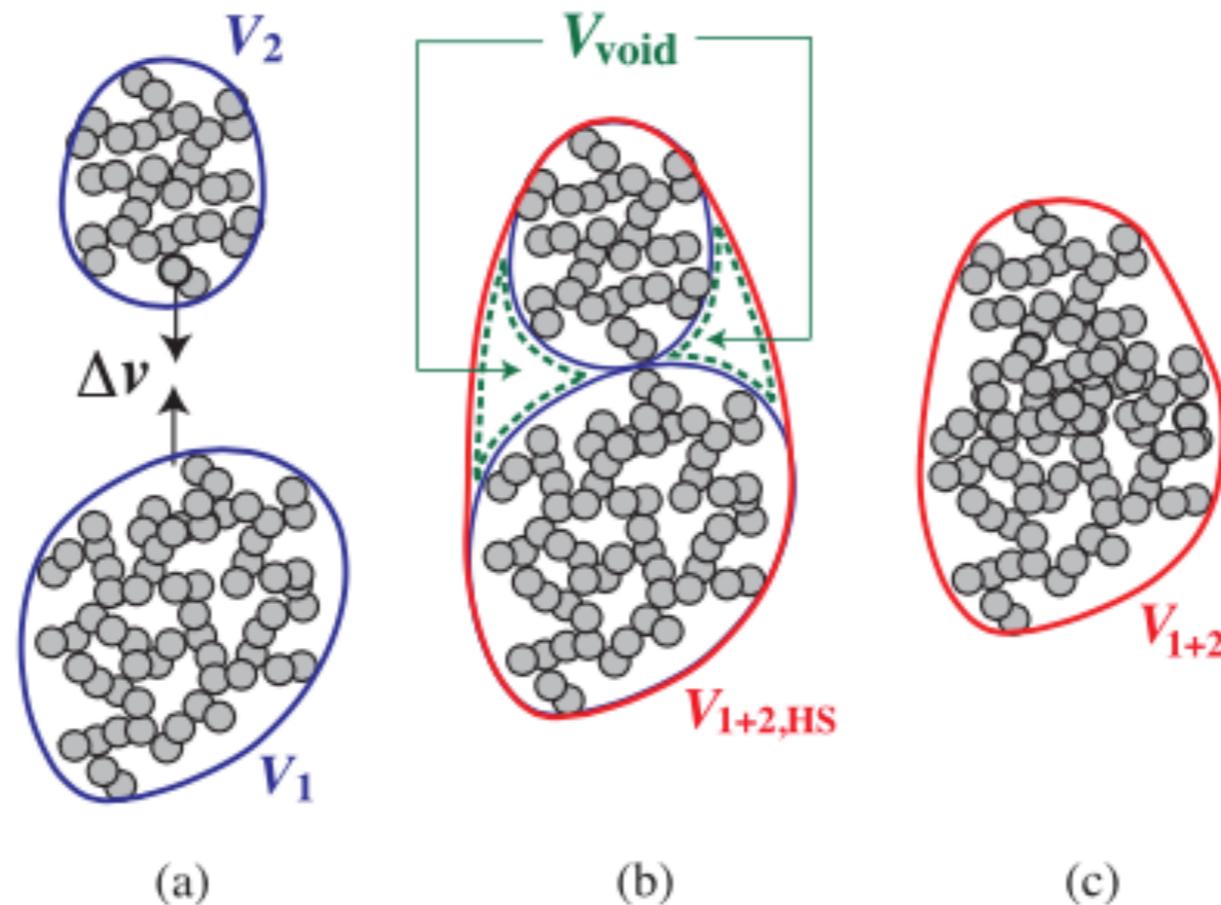
- Possible solutions
 - accelerate grain growth
 - grain porosity
 - slow down or stop radial drift
 - dust pile-up in particle traps

Grain porosity

Collisional evolution

Filling factor:

$$\phi = \frac{V_{\text{mat}}}{V} = \frac{\rho}{\rho_s}$$



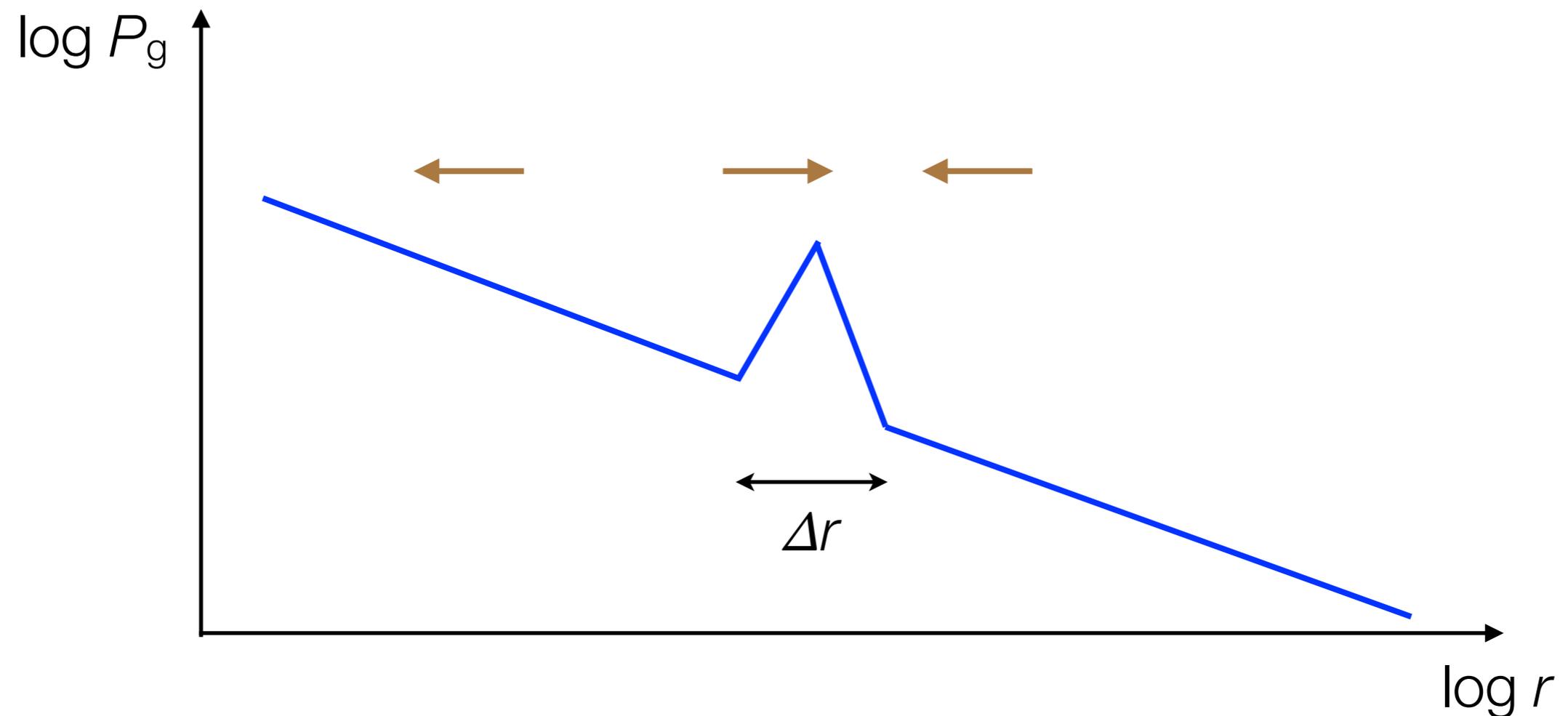
Okuzumi+2012

Porous grains are larger \Rightarrow faster growth

$$\text{St} = \frac{\Omega_K \rho_s \phi s}{\rho_g v_K} \Rightarrow \text{degeneracy}$$

Particle traps

- If pressure maximum exists over scale Δr
 \Rightarrow grains drift towards the pressure maximum

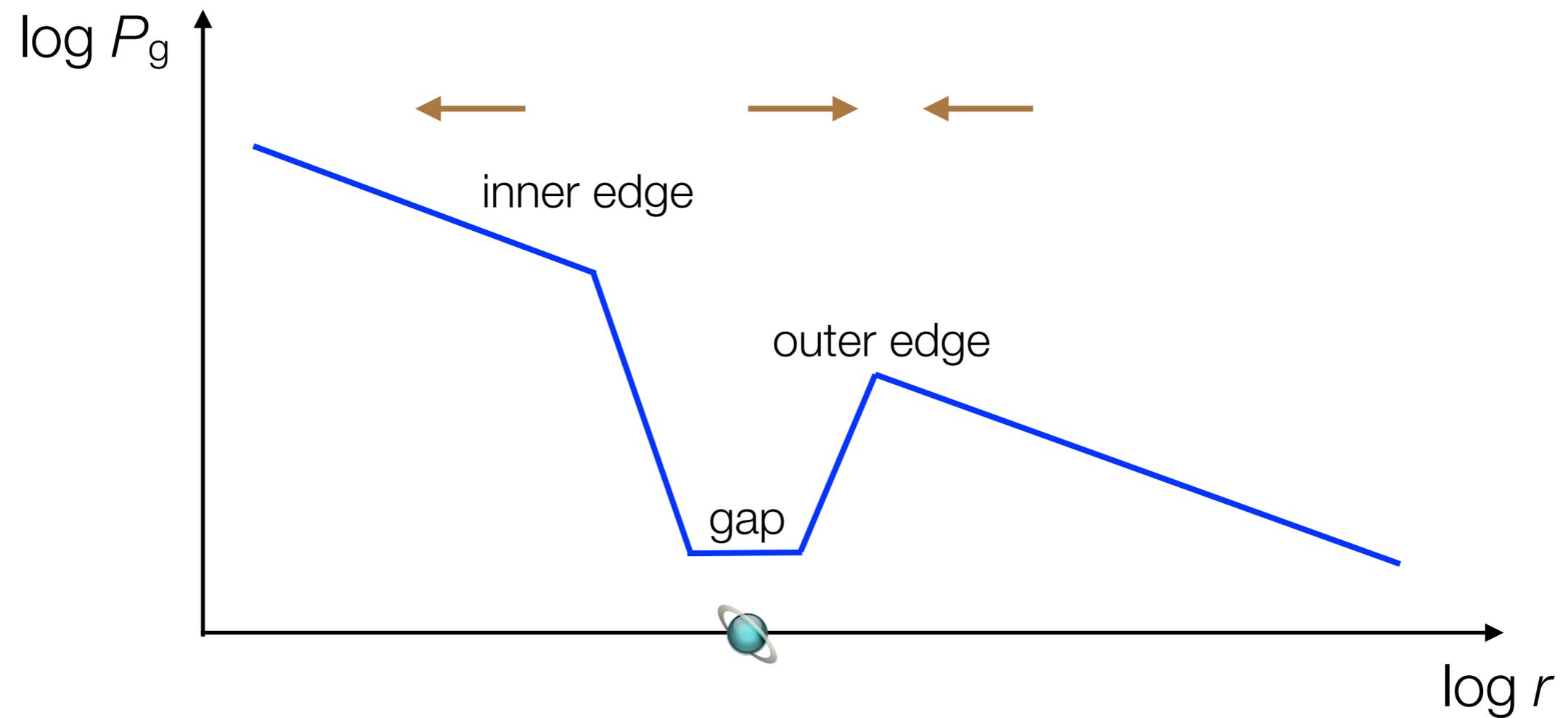


- local pressure gradient $\sim P_g/\Delta r >$ global gradient $\sim P_g/r$
 \Rightarrow pile-up timescale $<$ global drift time by a factor $(r/\Delta r)^2$

Particle traps

- Pressure maxima in the disk
 - vortices *Barge & Sommeria 1995, Regály+2012, Méheut+2013*
 - snow line *Kretke & Lin 2007*
 - dead zone inner edge *Dzyurkevich+2010*
 - planet gap outer edge *de Val-Borro+2007, Fouchet+2007,2010, Gonzalez+2012, Zhu 2012,2014*
 - zonal flows in MRI turbulence *Bethune+2016*
 - "bumpy" gas surface density *Pinilla+2012*
- ⇒ Dust concentrations
 - ϵ increases
 - v_{rel} decreases

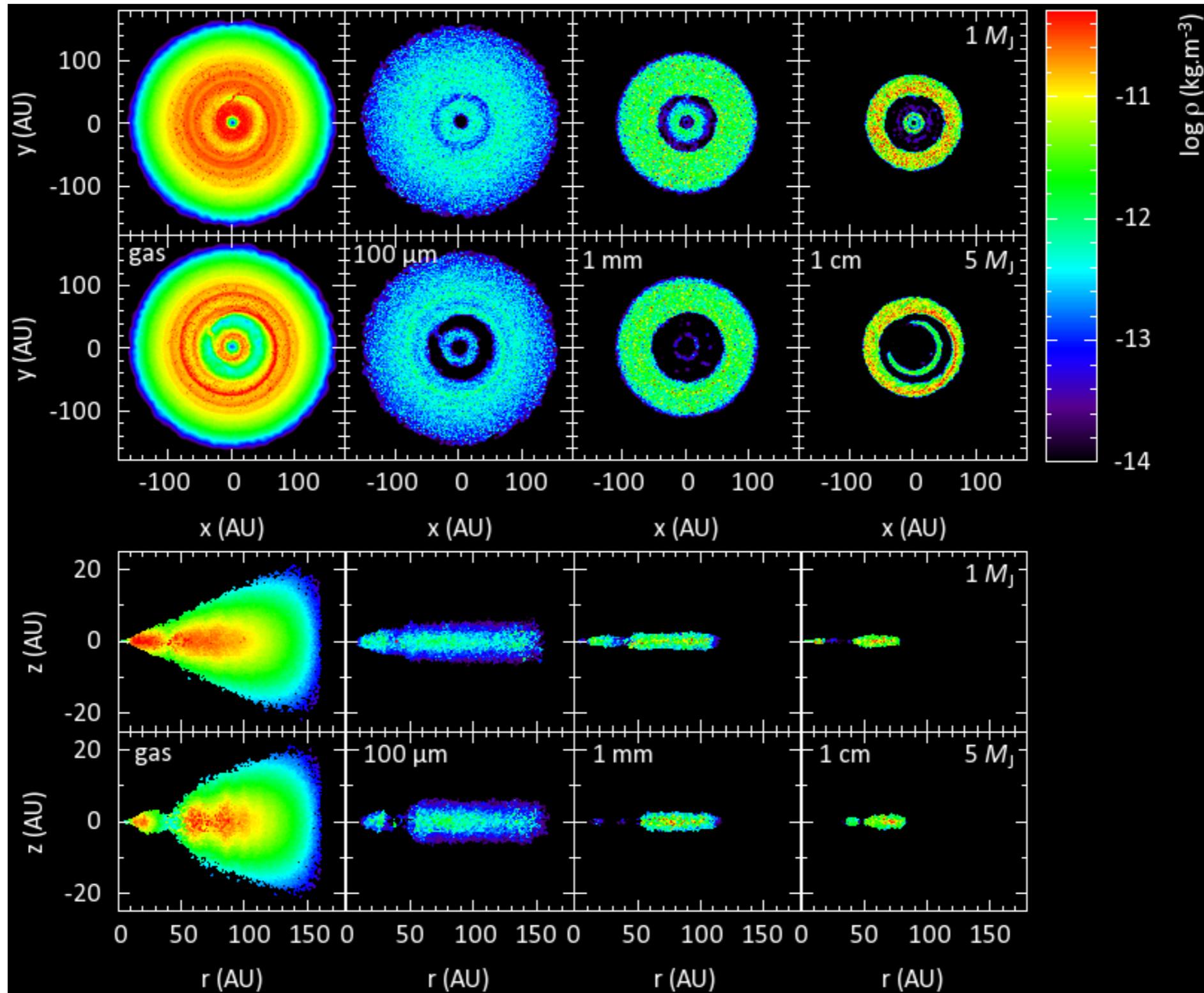
Planet gaps



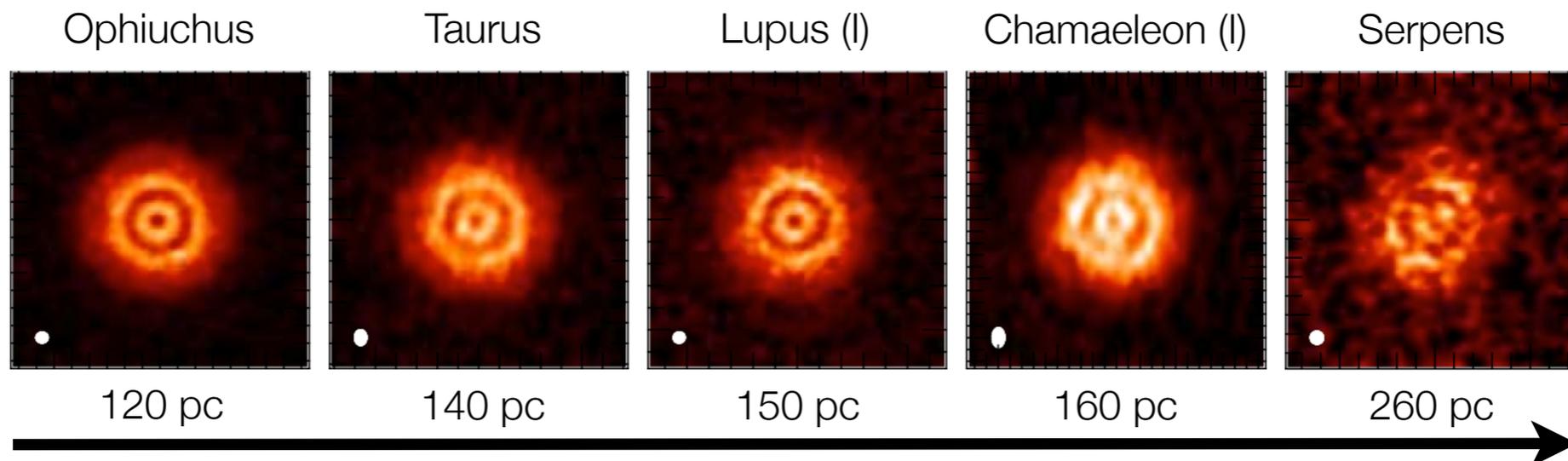
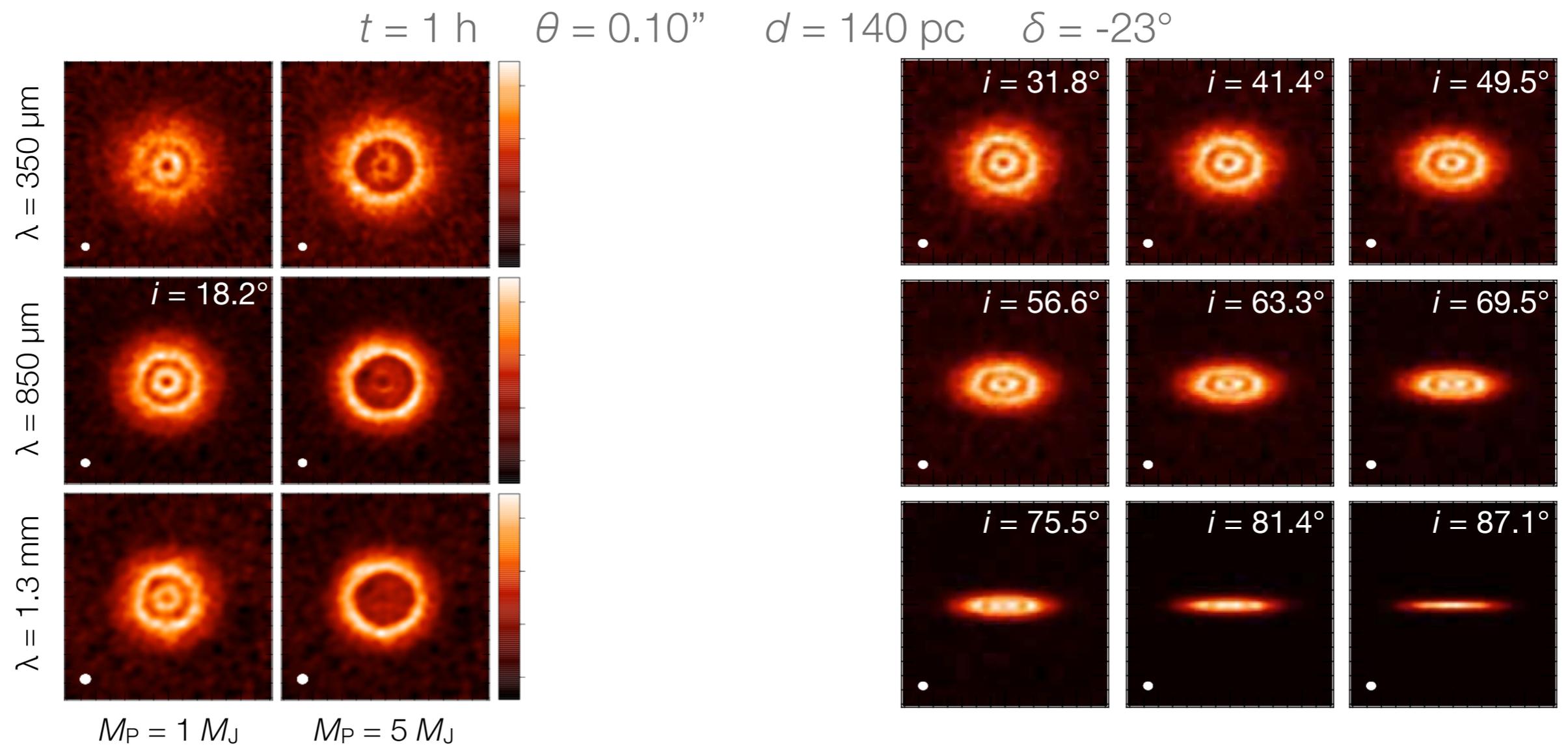
Disk with planet: grains of constant size

$$M_{\text{disk}} = 0.01 M_{\odot}, r_{\text{out}} = 160 \text{ AU}, p = 0, q = 1, \epsilon_0 = 1\%, \rho_s = 1000 \text{ kg m}^{-3}$$

$$M_{\text{P}} = 1 \text{ \& } 5 M_{\text{J}}, a = 40 \text{ AU}, s = 100 \mu\text{m}, 1 \text{ mm}, 1 \text{ cm}$$



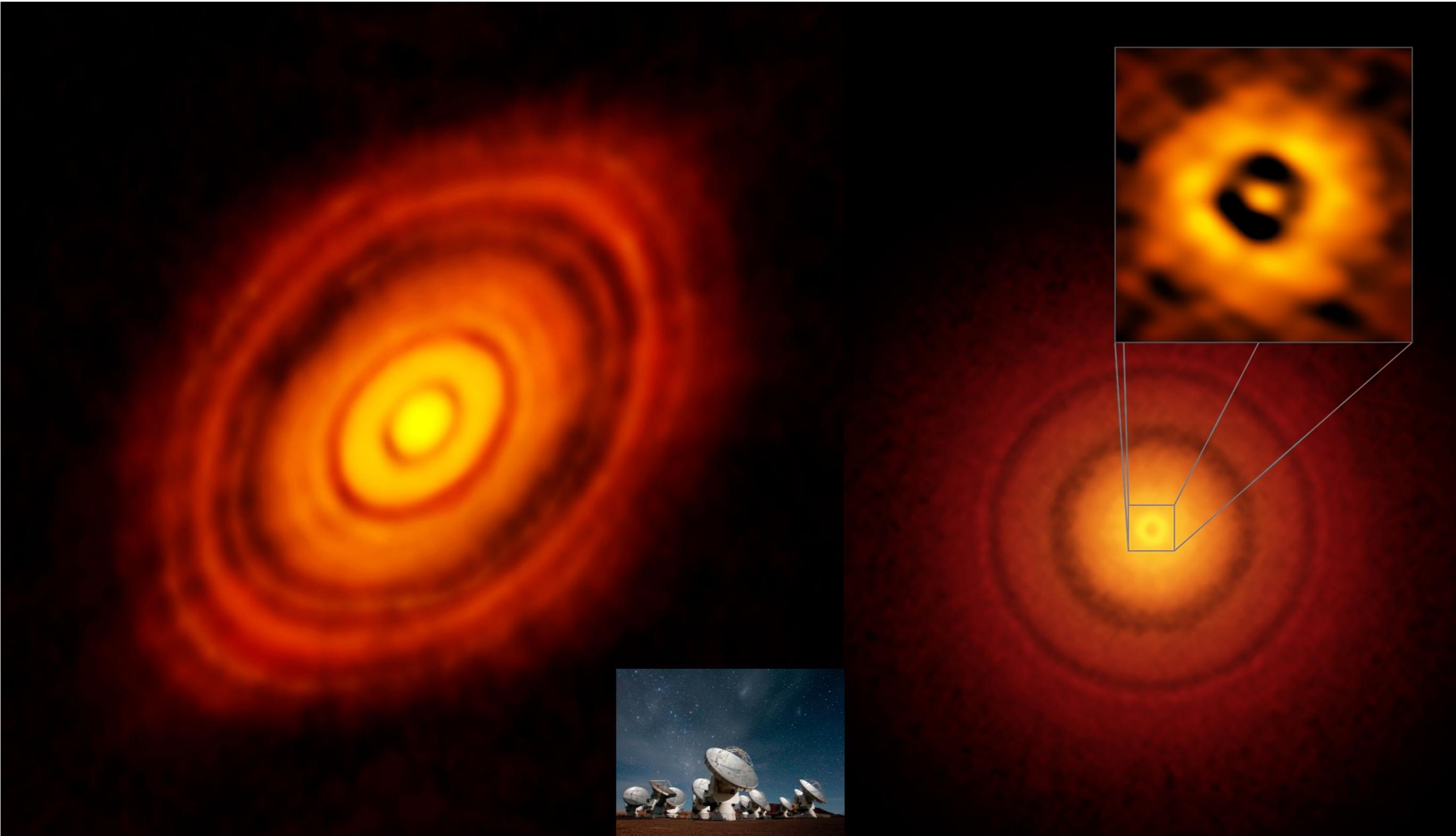
Disk with planet: ALMA simulated images



Planet gaps

HL Tau

TW Hya



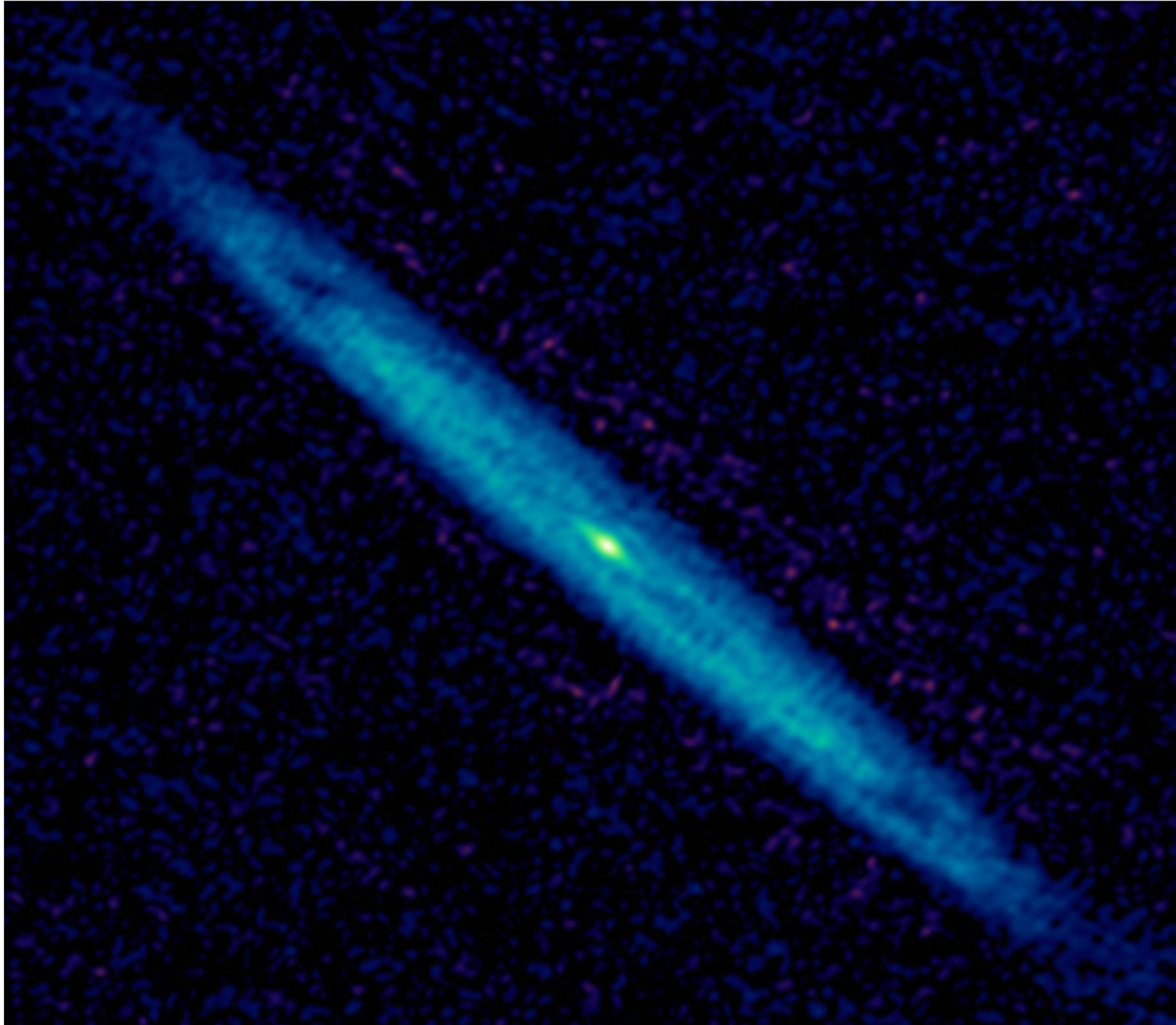
ALMA Partnership+2015

ALMA

Andrews+2016

Planet gaps

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